



# ALERT Geomaterials Doctoral School 2019

## Strain localization in geomaterials and regularization: rate-dependency, higher order continuum theories and multi-physics

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# Objectives

- Understand fundamental notions related to bifurcation theory;
- Perform a bifurcation analysis using the first Lyapunov method and derive the conditions for strain localization under different constitutive assumptions and continua;
- Identify the dominant time and spatial scales in a class of problems;
- Draw qualitative conclusions regarding strain localization zone thickness and mesh dependency without cumbersome numerical analyses;
- Understand the added-value of viscoplasticity and Micromorphic continua such as the Cosserat and strain-gradient continua;
- Investigate the effect of multiphysics couplings on the localization of deformations.

# Packages

**from** knowledge **import** tensor\_calculus, odes, stability

**from** character **import** perseverance

**from** problems **import** challenging

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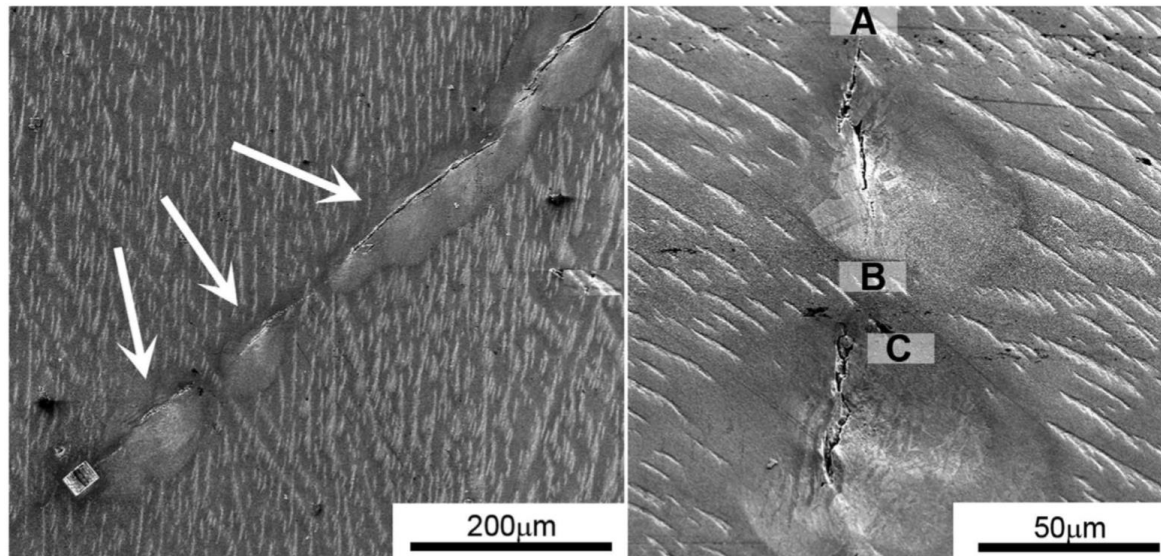
**from** problems **import** challenging

## Chapter update

[http://coquake.eu/index.php/tools/alert\\_2019/](http://coquake.eu/index.php/tools/alert_2019/)

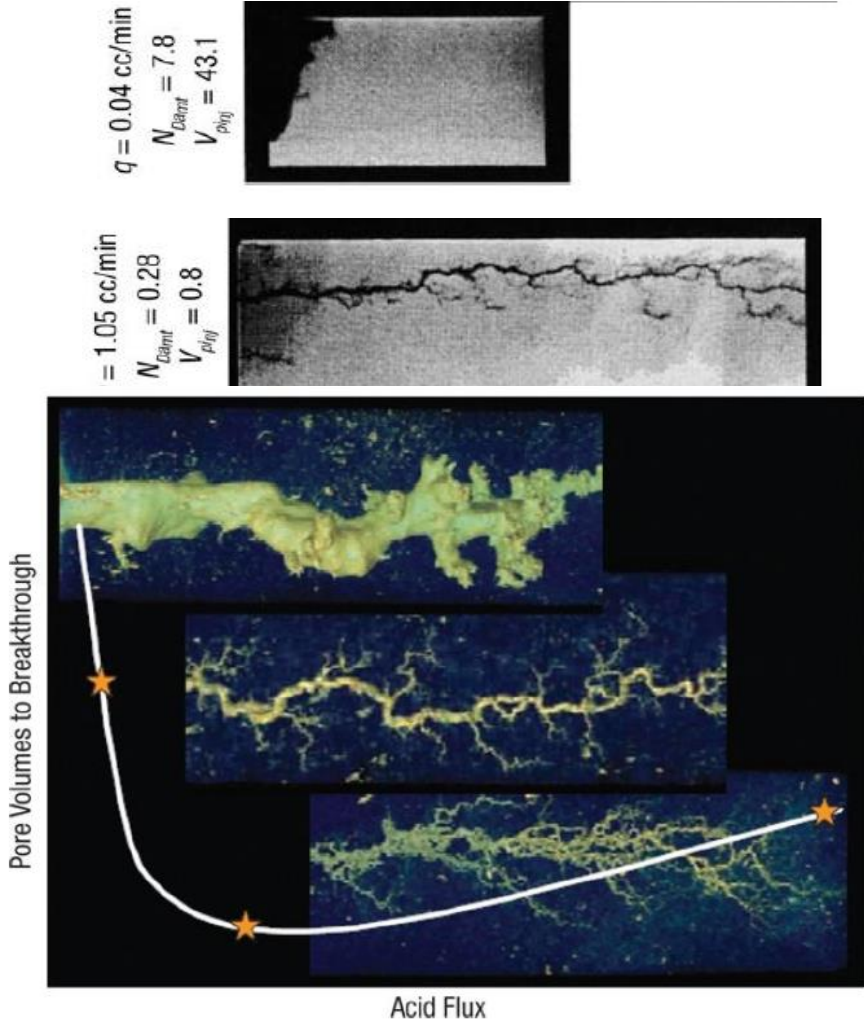
# Examples of strain localization

# Titanium after impact load

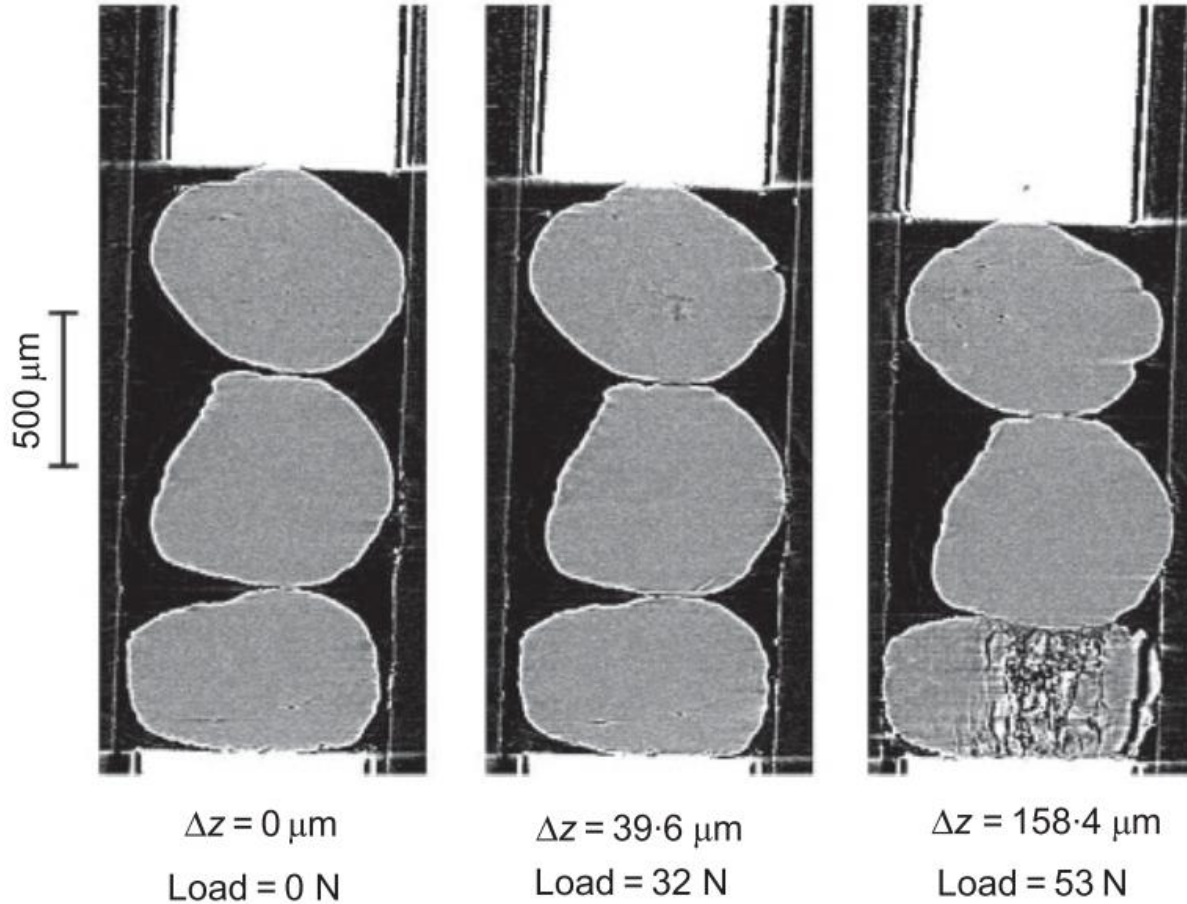


(P.Landau et al., Nature, 2016: “The genesis of adiabatic shear bands” )

# Fingering with acidizing fluid in chalk



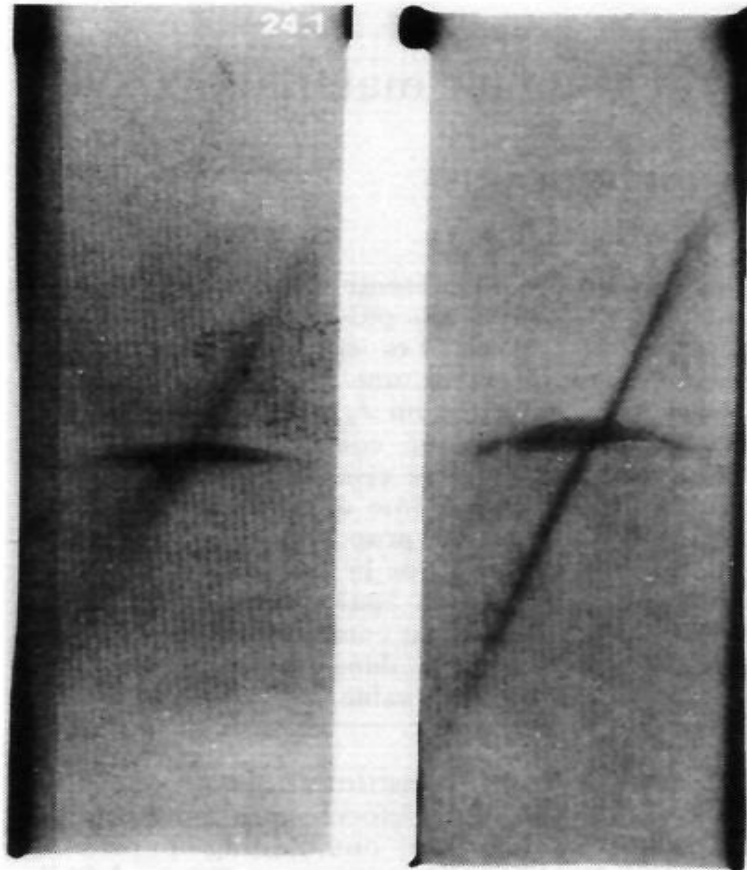
# Silica sand particles



(Cil & Alshibli, 2012)



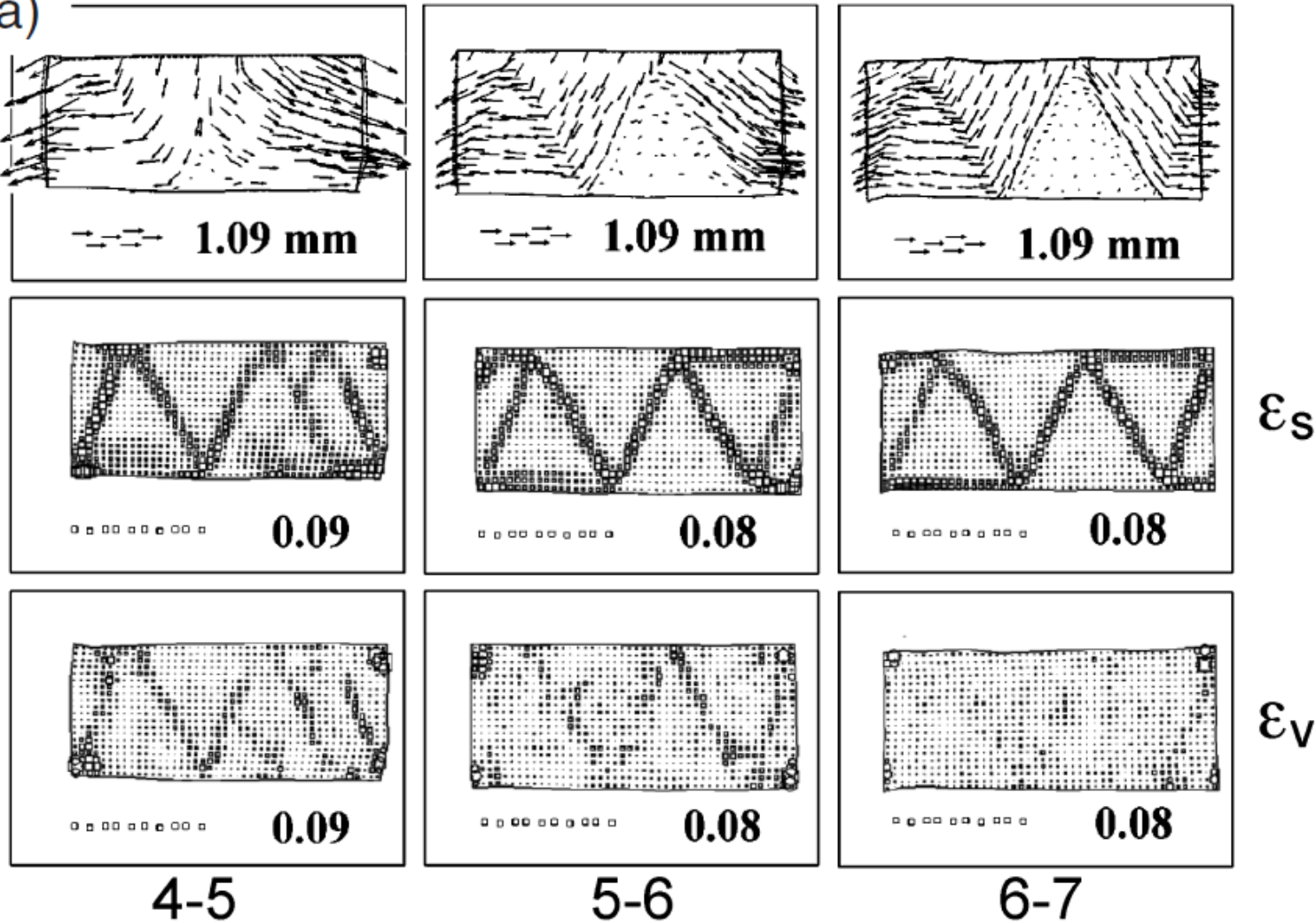
# Biaxial tests



(Mühlhaus & Vardoulakis, 1987)

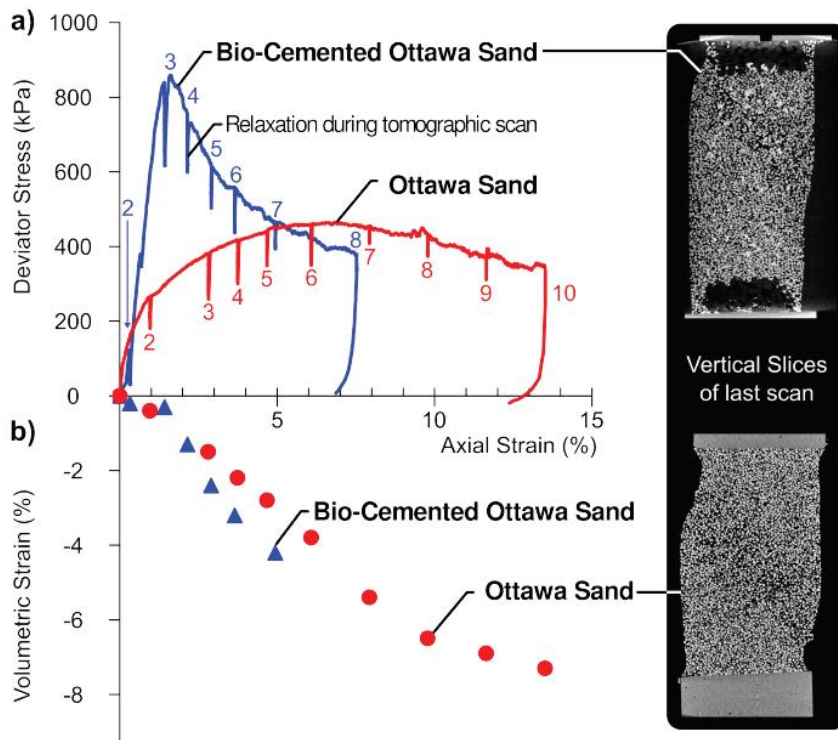
# Shear tests

(a)

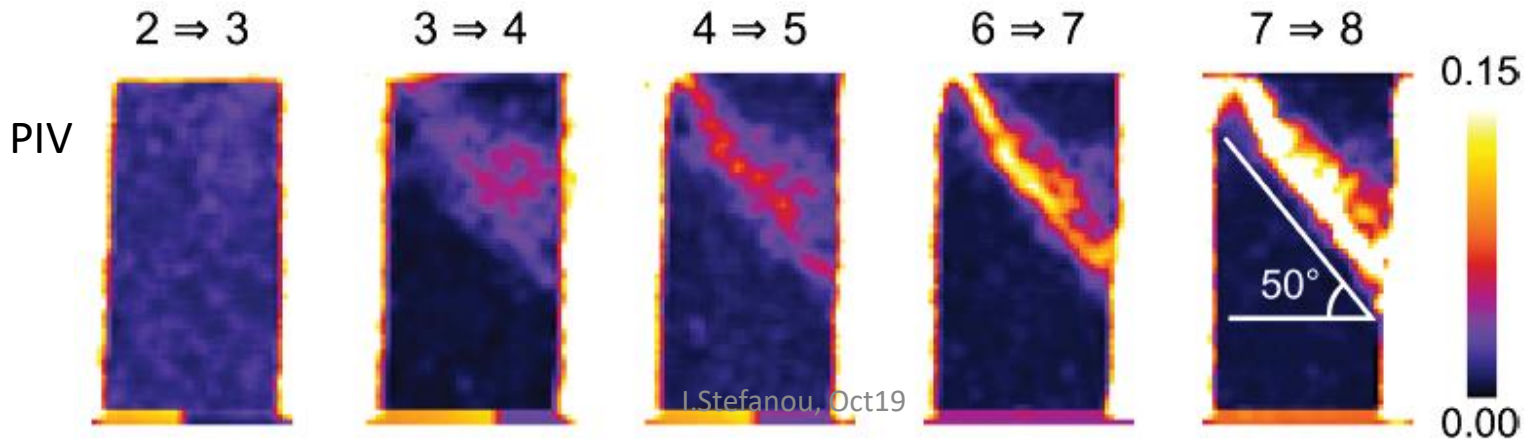


(Desrues & Viggiani, 2004)

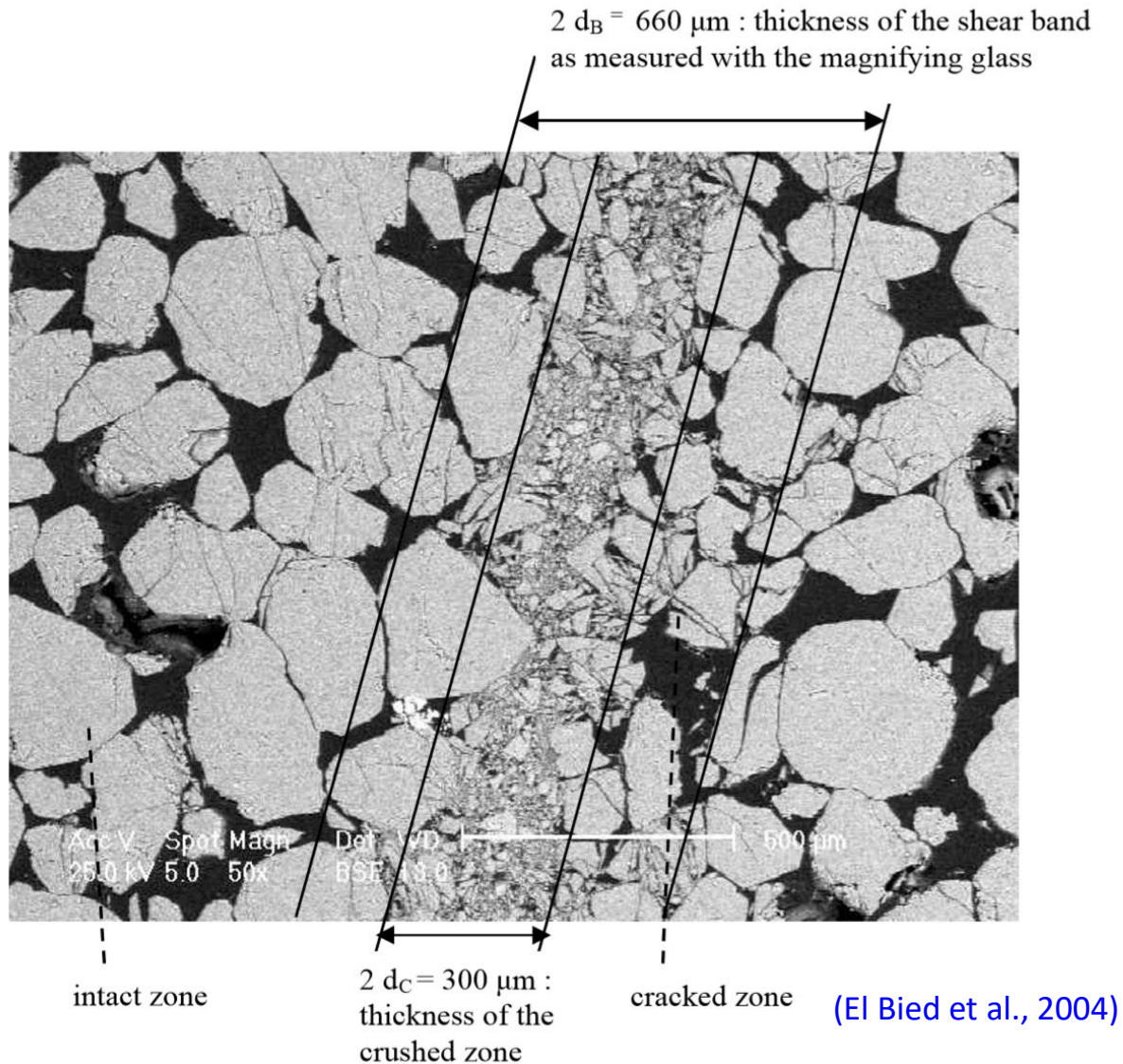
# Triaxial tests



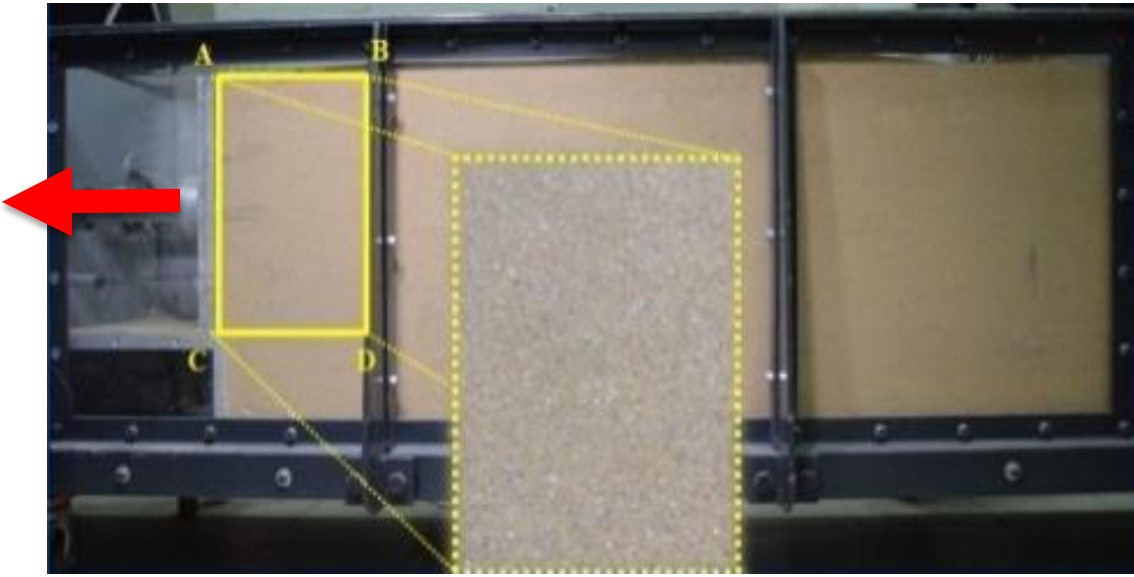
(Tagliaferri et al., 2017)



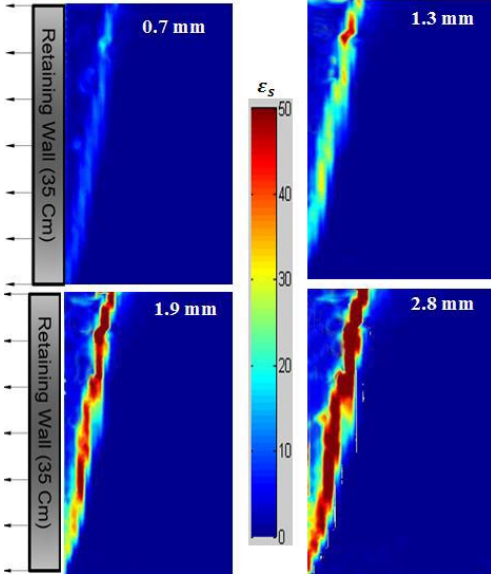
# Zooming in...



# Retaining walls

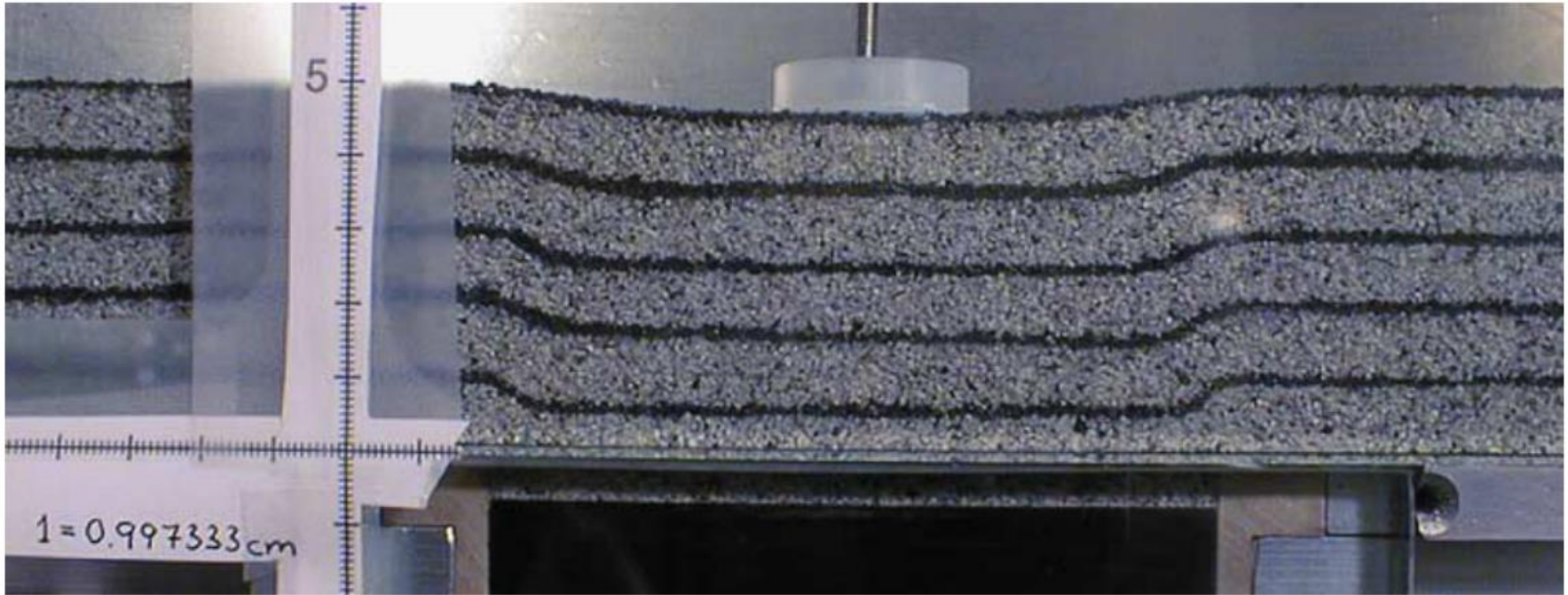


PIV



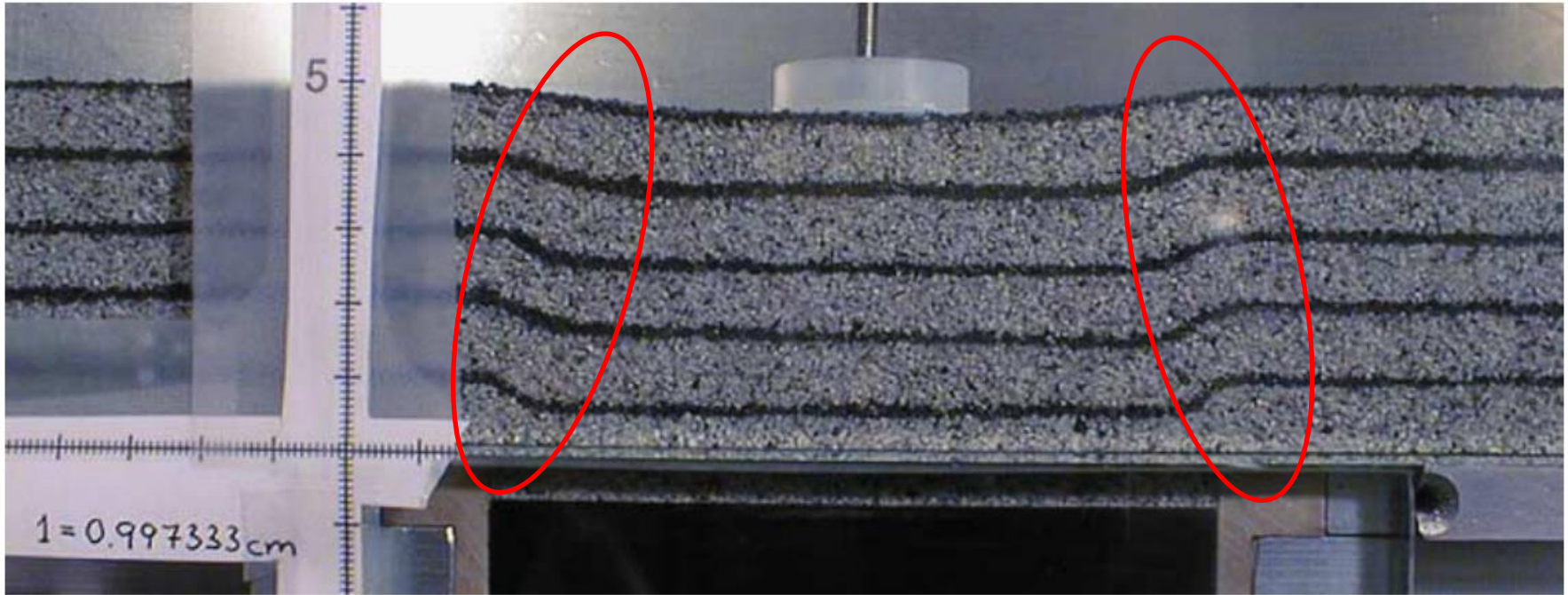
(Soltanbeigi et al., 2014)

# Subsidence



(Vardoulakis et al., 2004)

# Subsidence



(Vardoulakis et al., 2004)

# Fasten up!

- Kahoot!

<https://kahoot.com/>



Kahoot!

Kahoot! Education Education

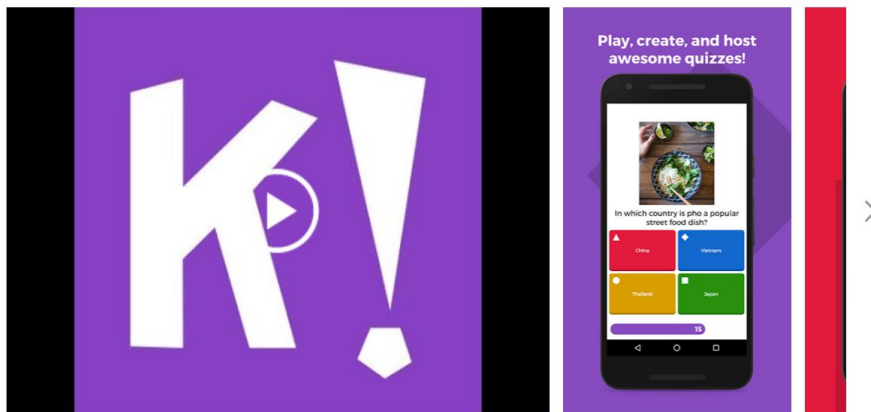
PEGI 3 Family Friendly

★★★★★ 68,379

Offers in-app purchases

This app is compatible with some of your devices.

Installed





**Q1-5**

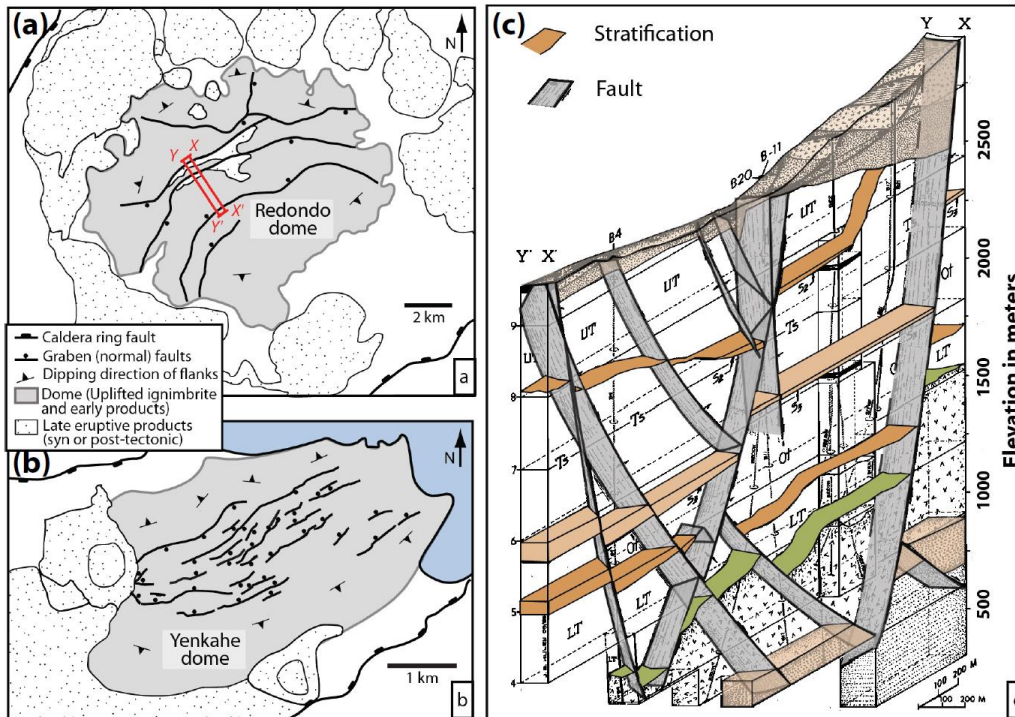
# Compaction bands



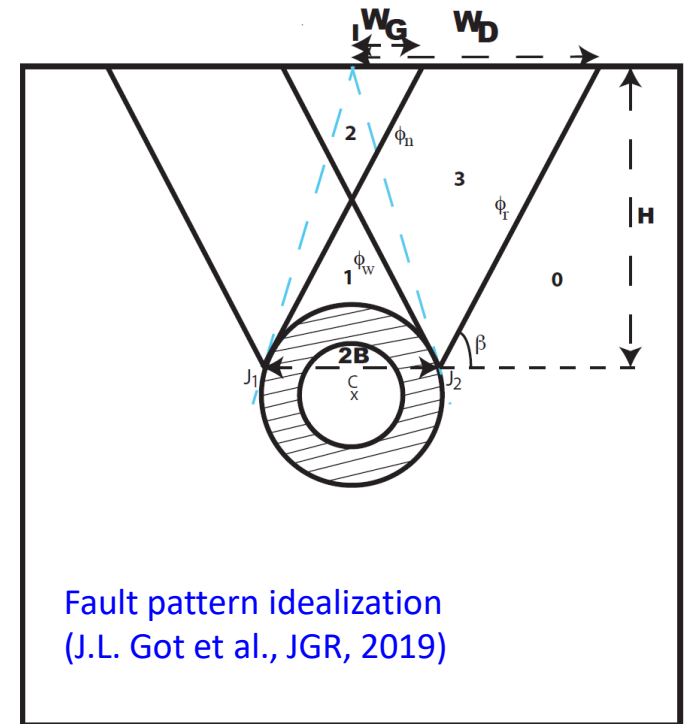
Valley of Fire, Nevada, USA, 25/12/2015

I. Stokrou, Oct 19

# Volcanoes



(a) Redondo dome in Valles caldera, NM US (Smith & Bailey, 1968)  
 (b) Yenkahe dome in Siwi caldera, IN (Brothelande et al., 2016)  
 (c) Valles caldera (Nielson & Hulen, 1984)



**Q6**

# EQ faults



# Definitions

**Q7-8**

# Loss of uniqueness

= existence of more than one (equilibrium or steady state) solutions

≠

## Bifurcation

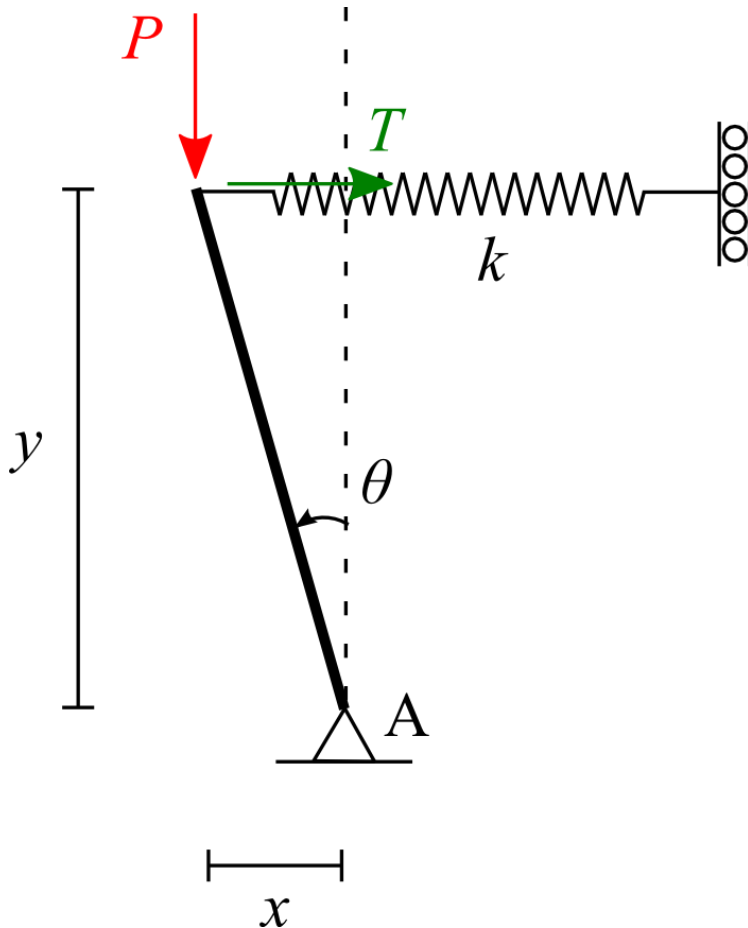
≠

## Instability



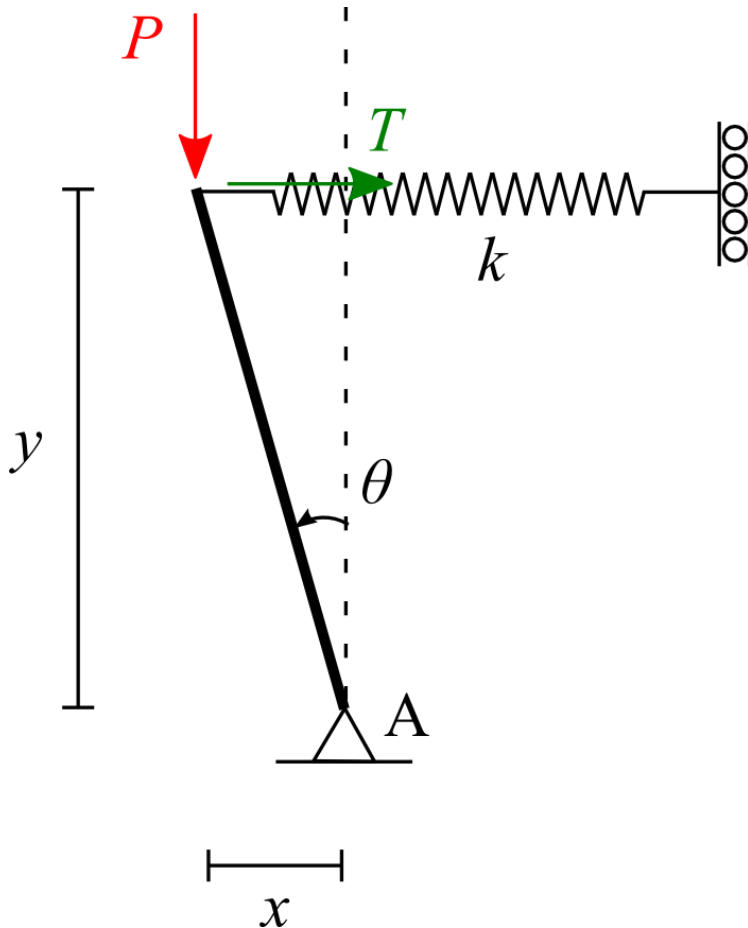
# A simple system for building understanding

-> Find all the equilibrium points (angles  $\vartheta$ ) of the system:



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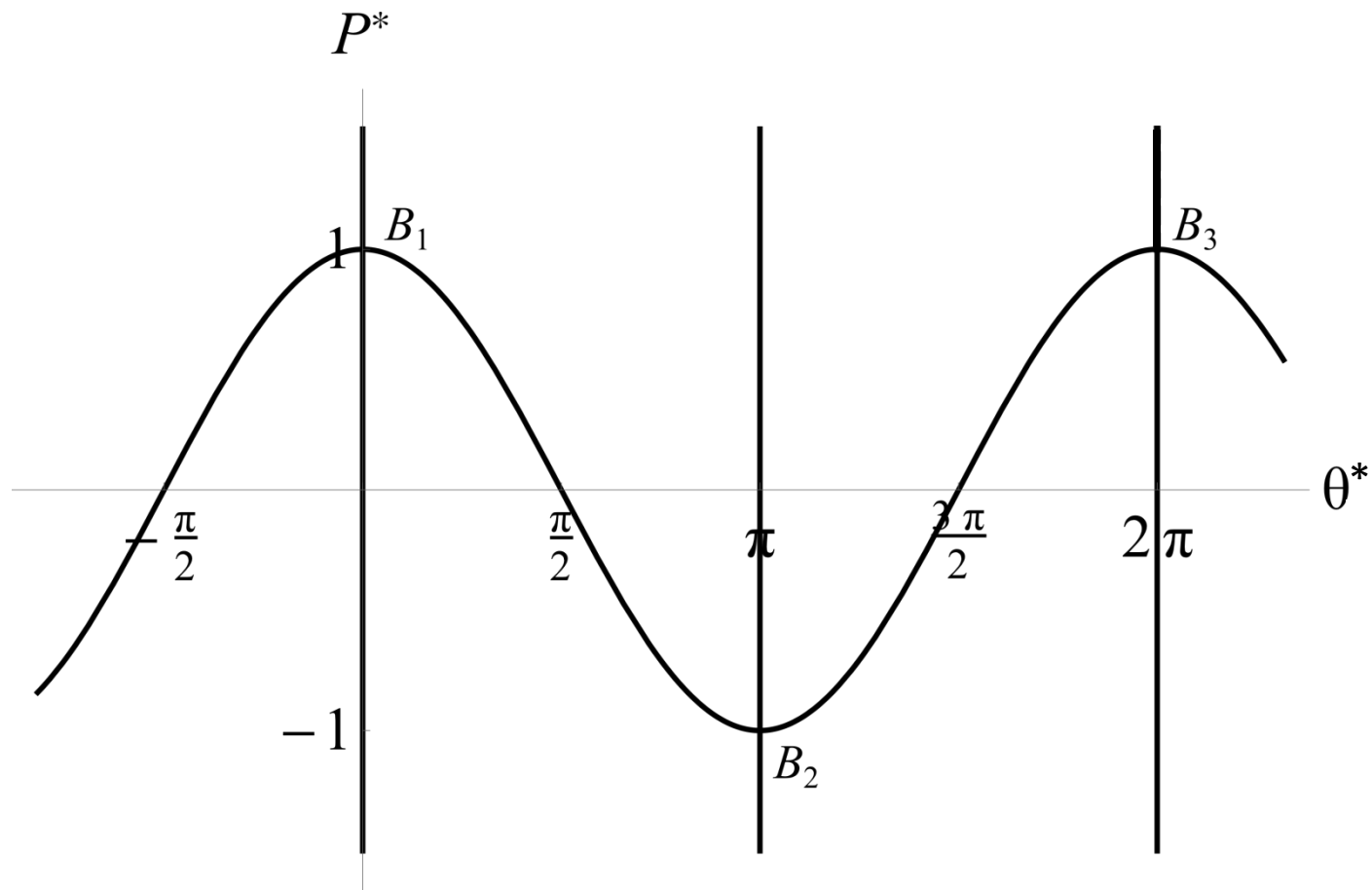
$$I_A \ddot{\theta} = \Sigma M_A = P x - T y$$

$$T = k x$$

$$x = l \sin \theta$$

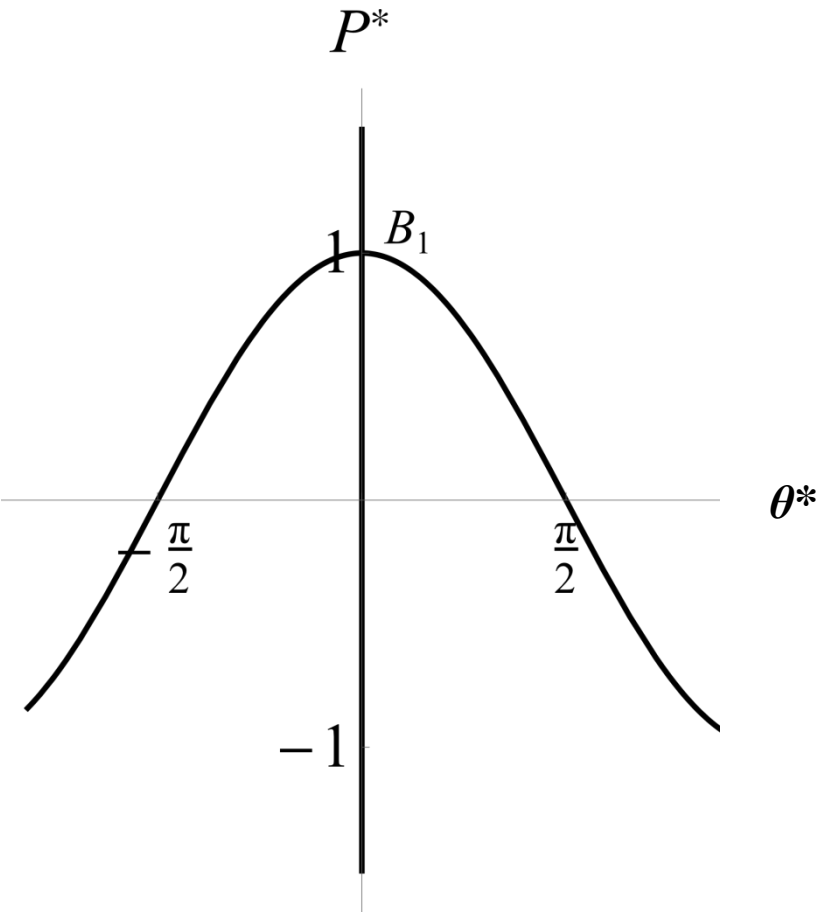
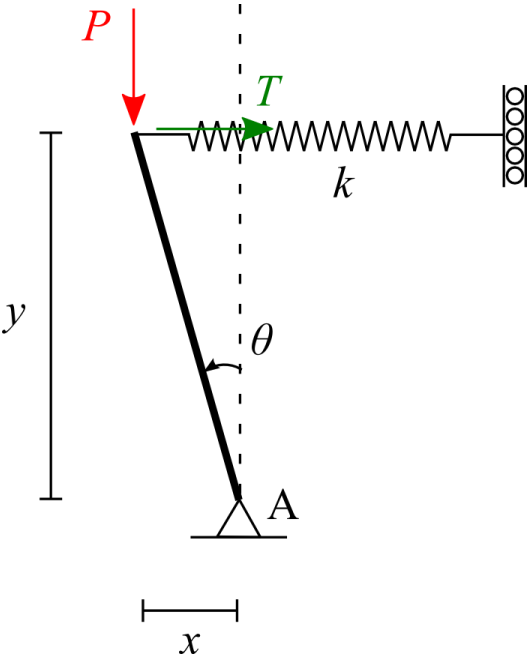
$$y = l \cos \theta$$

# Equilibrium diagram

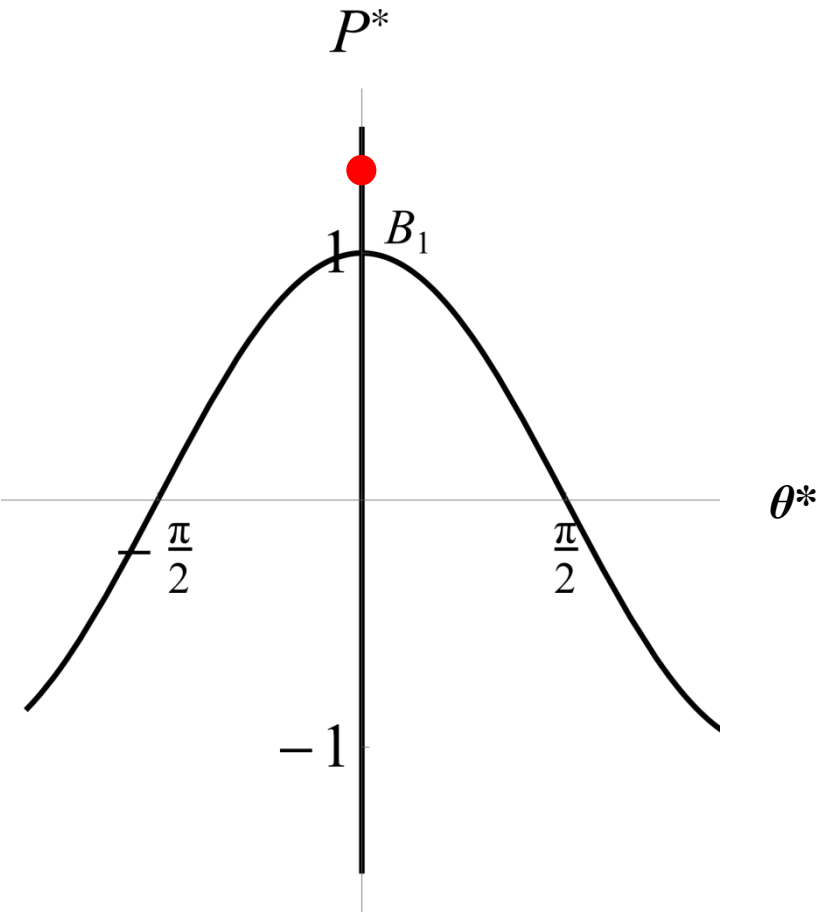
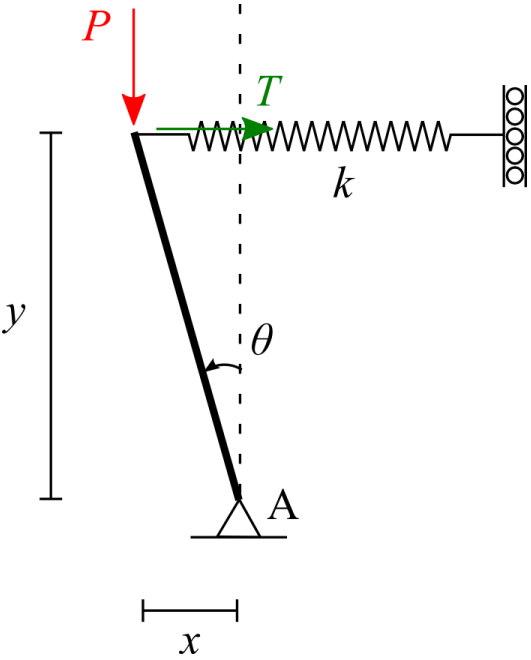


It is called also **bifurcation diagram** because at points  $B_1, B_2, B_3, \dots$  the equilibrium diagram bifurcates!

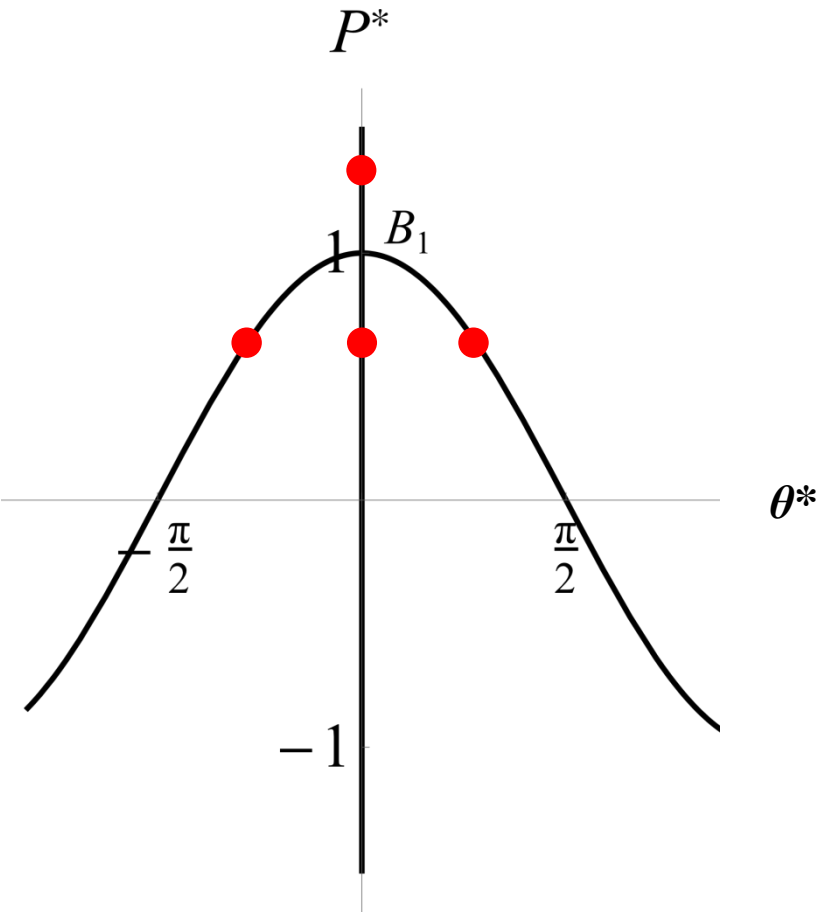
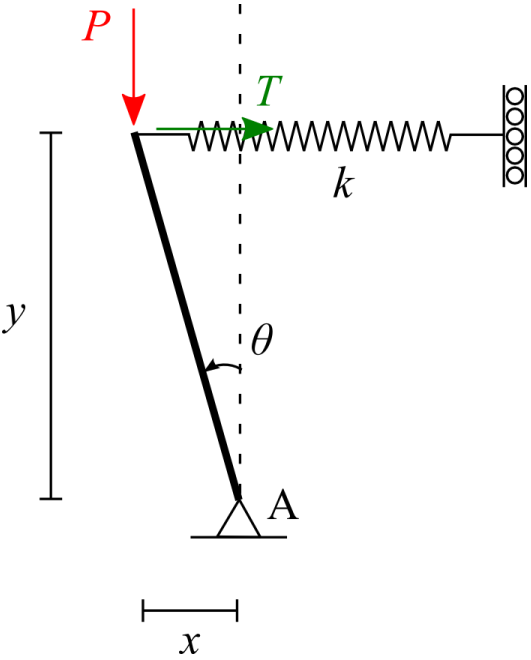
# Loss of uniqueness



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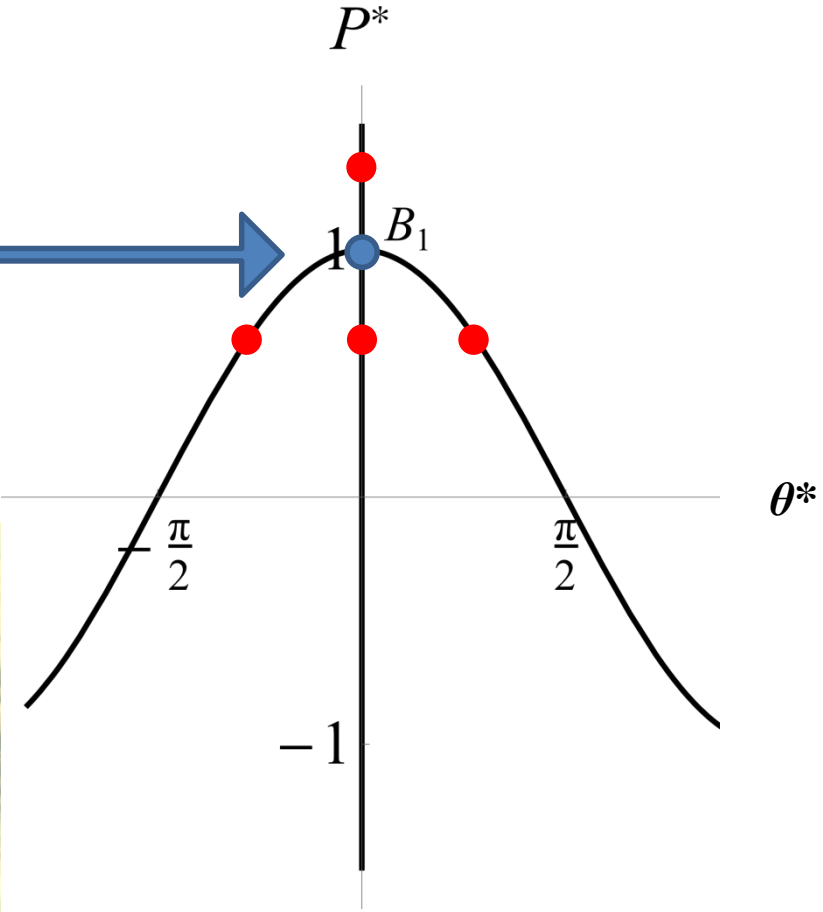


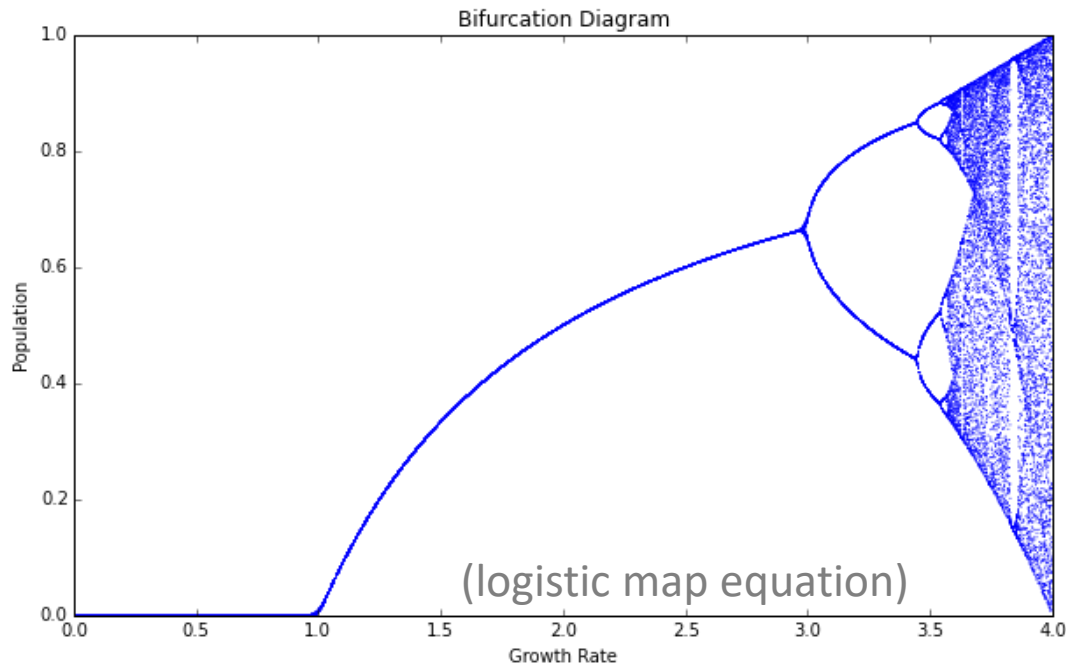
# Loss of uniqueness



# Loss of uniqueness

*Bifurcation point*

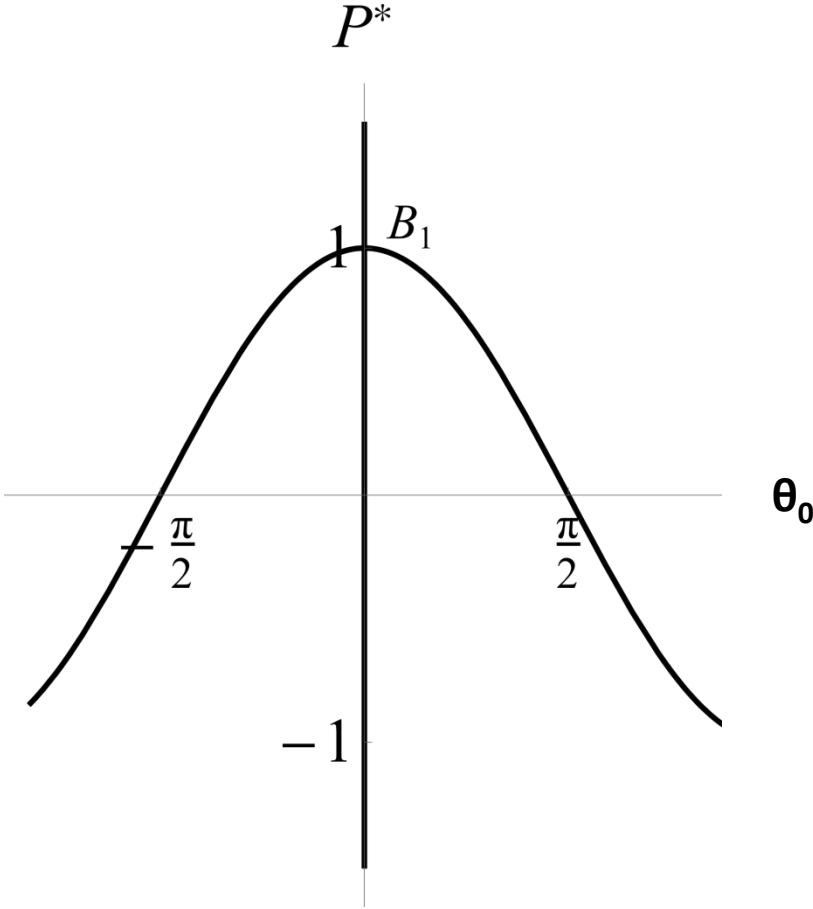
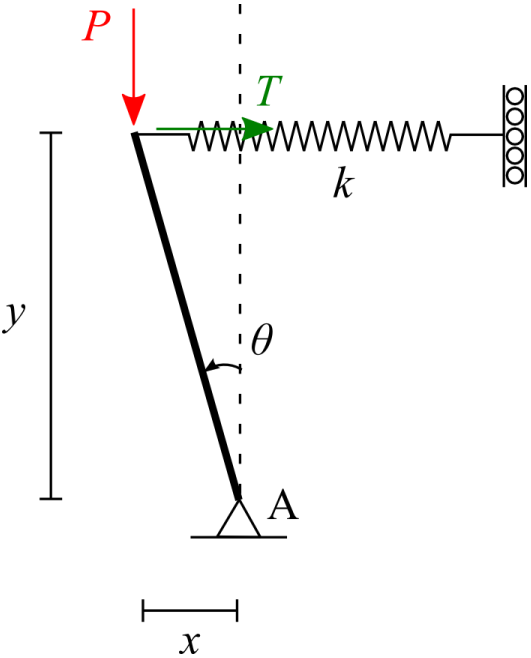




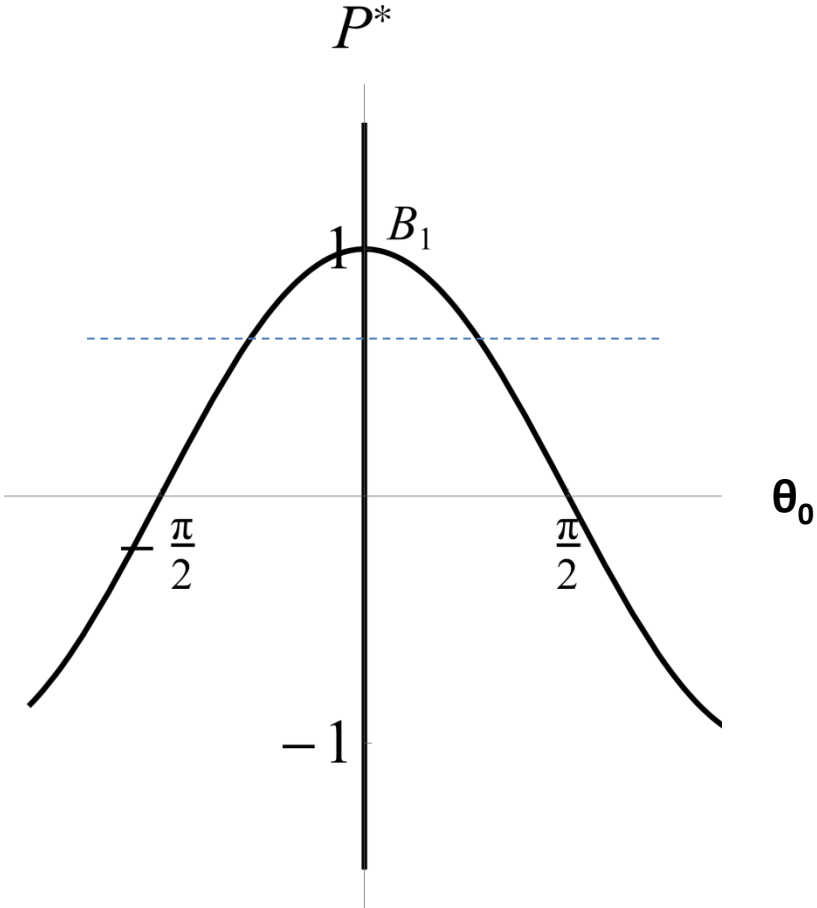
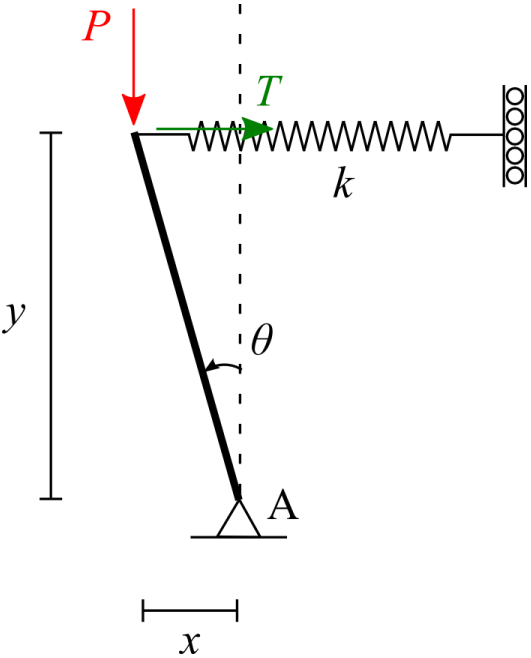
It might be simple or complicated... but the idea is the same.



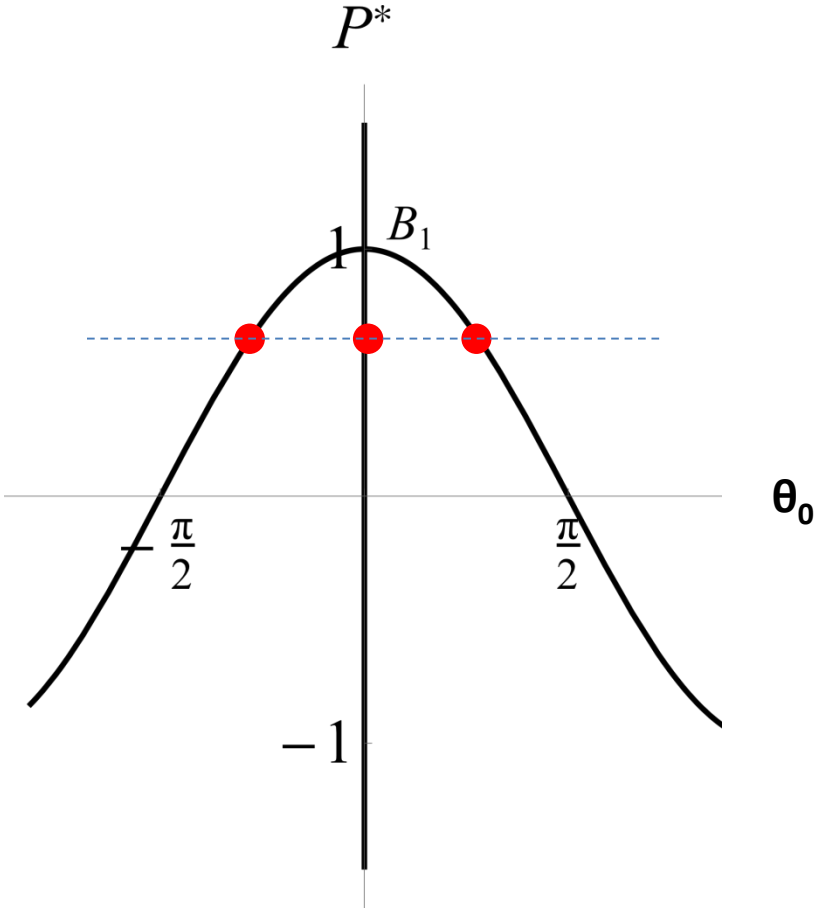
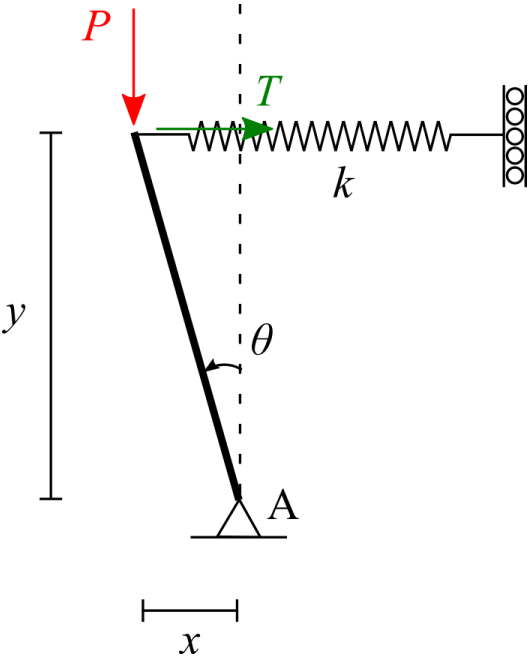
# How does the system decide where to go?



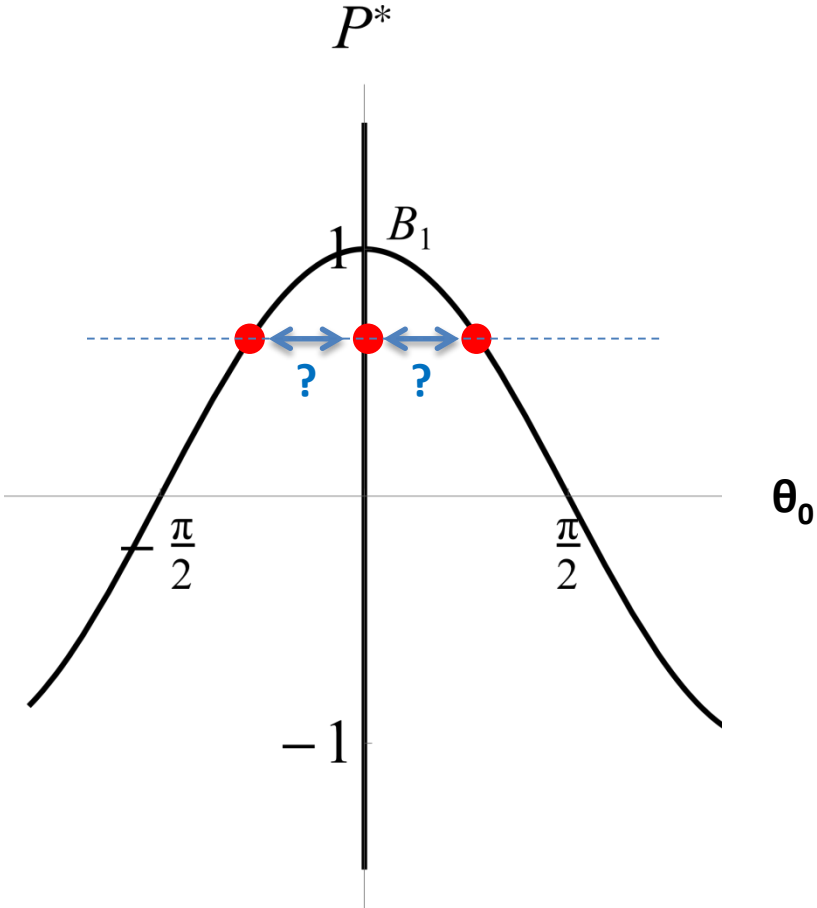
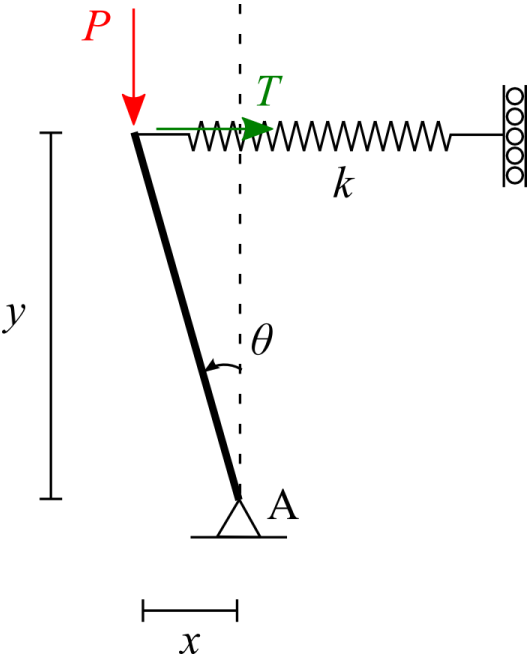
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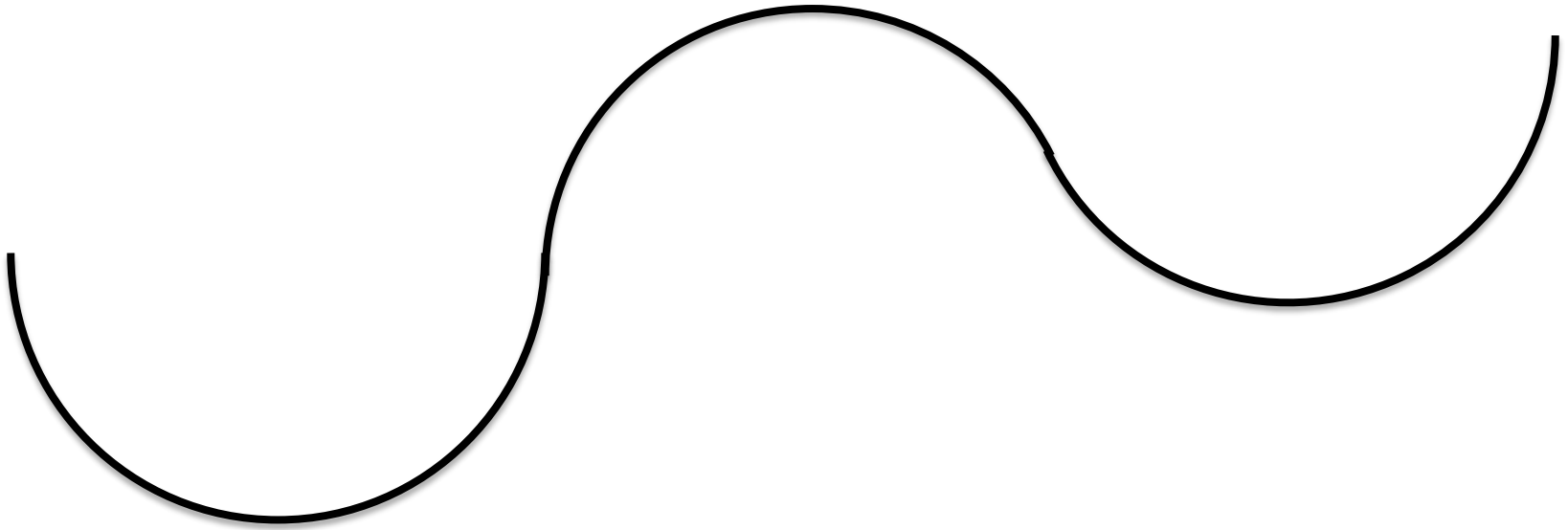


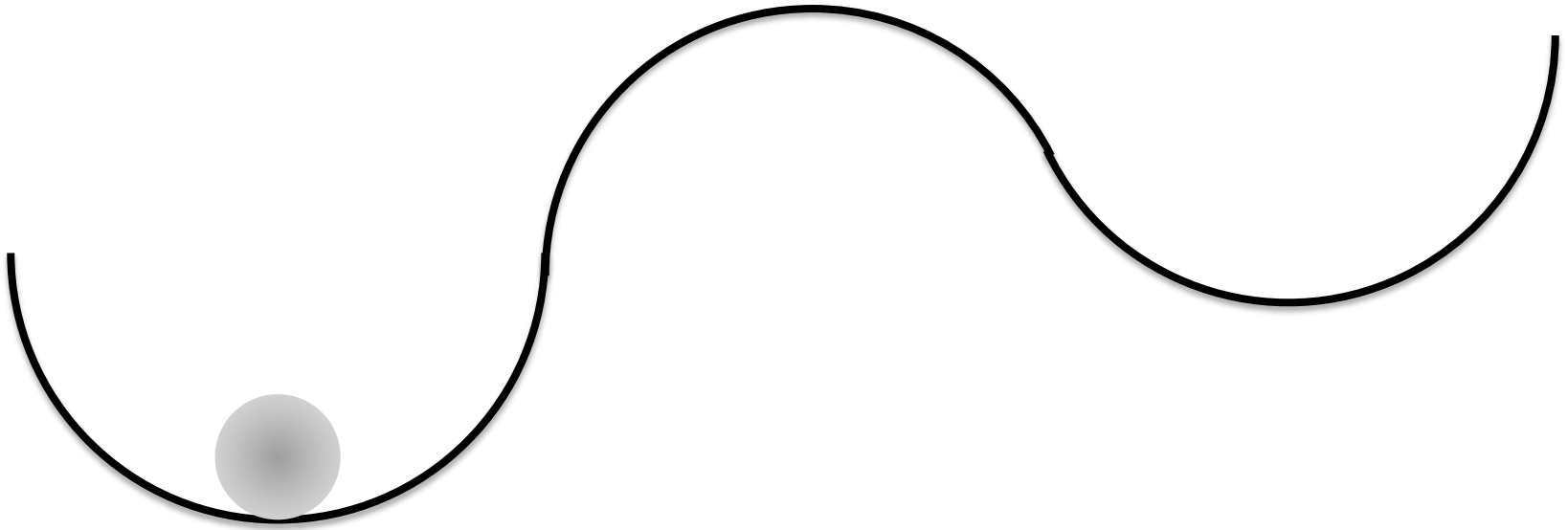
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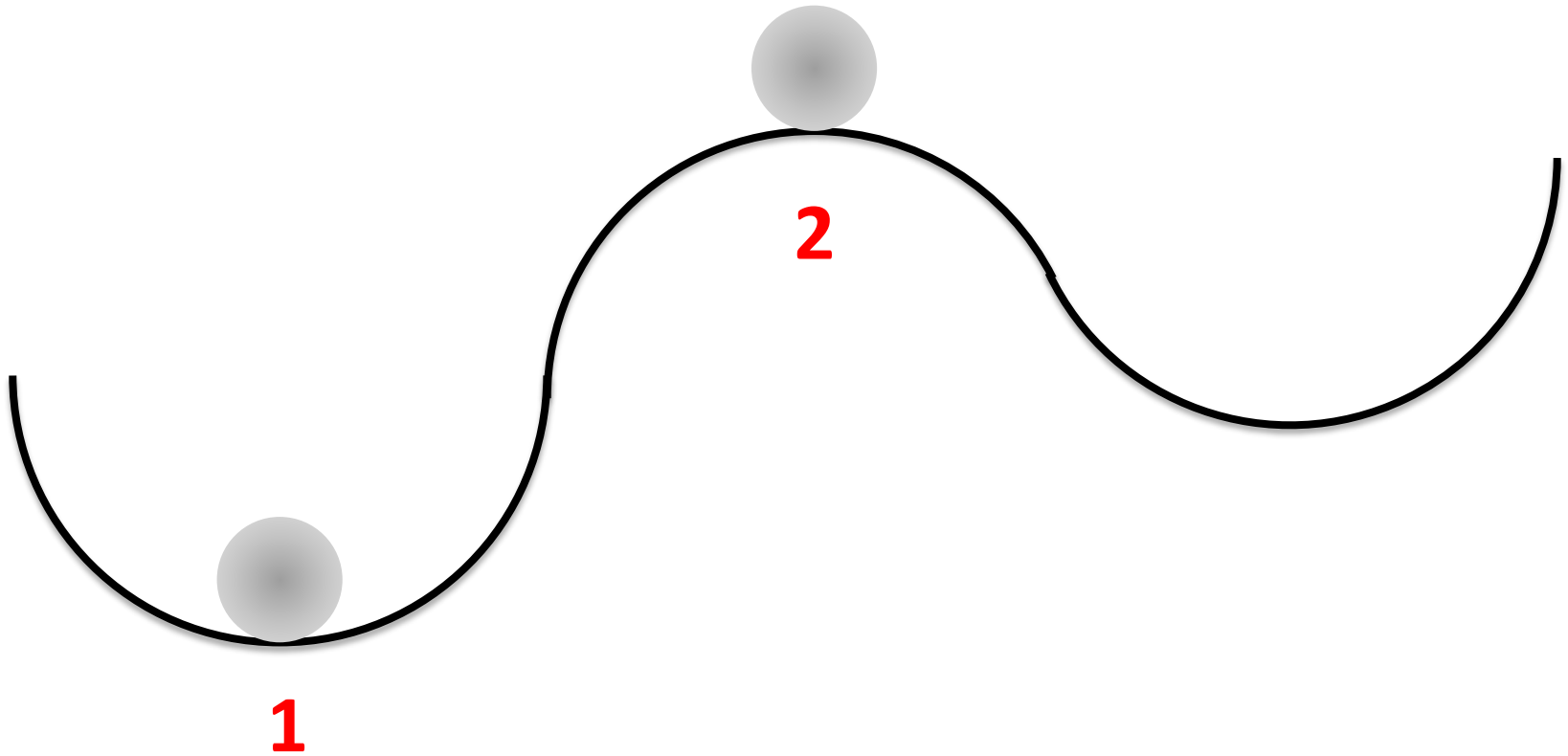
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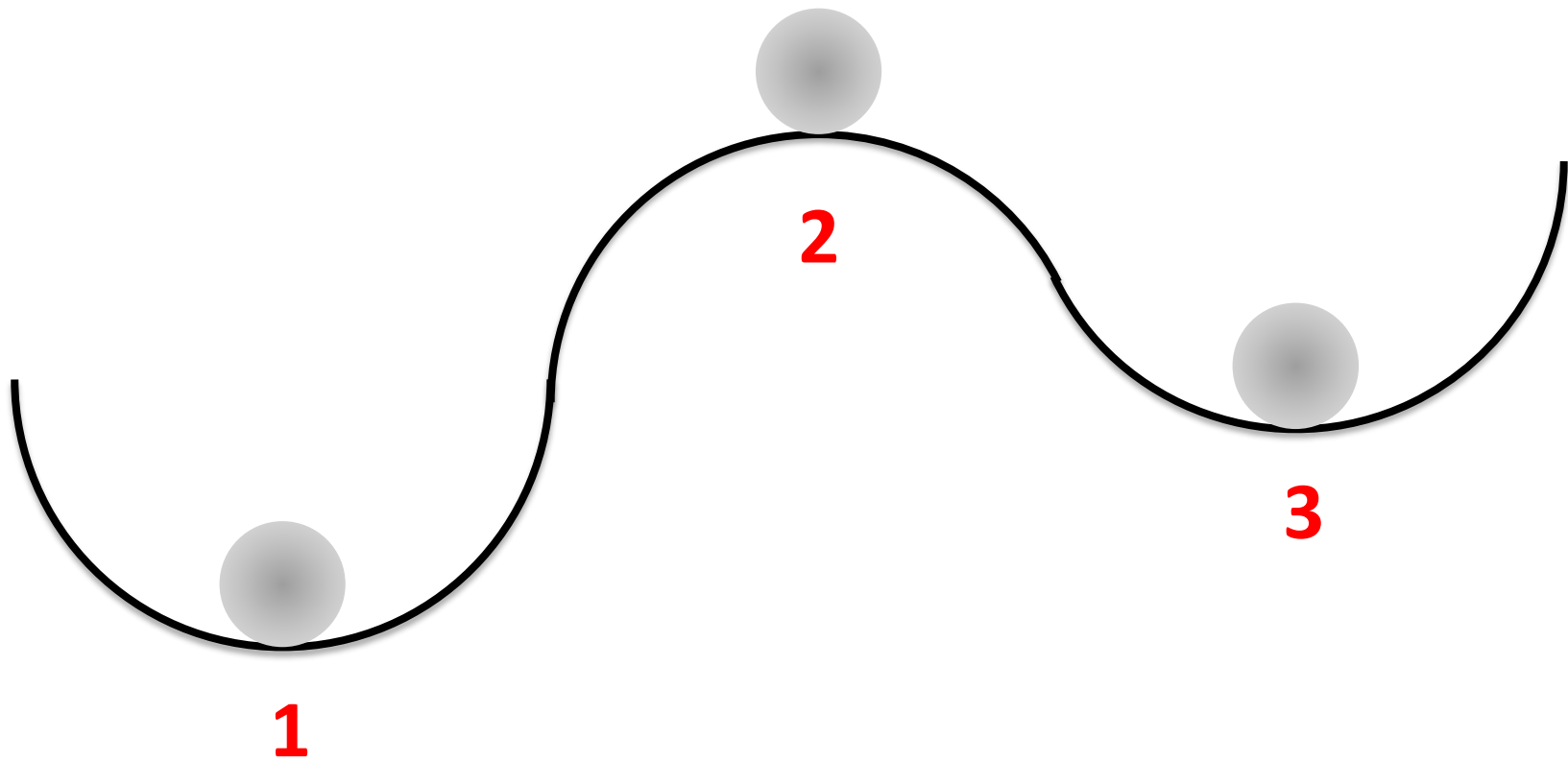




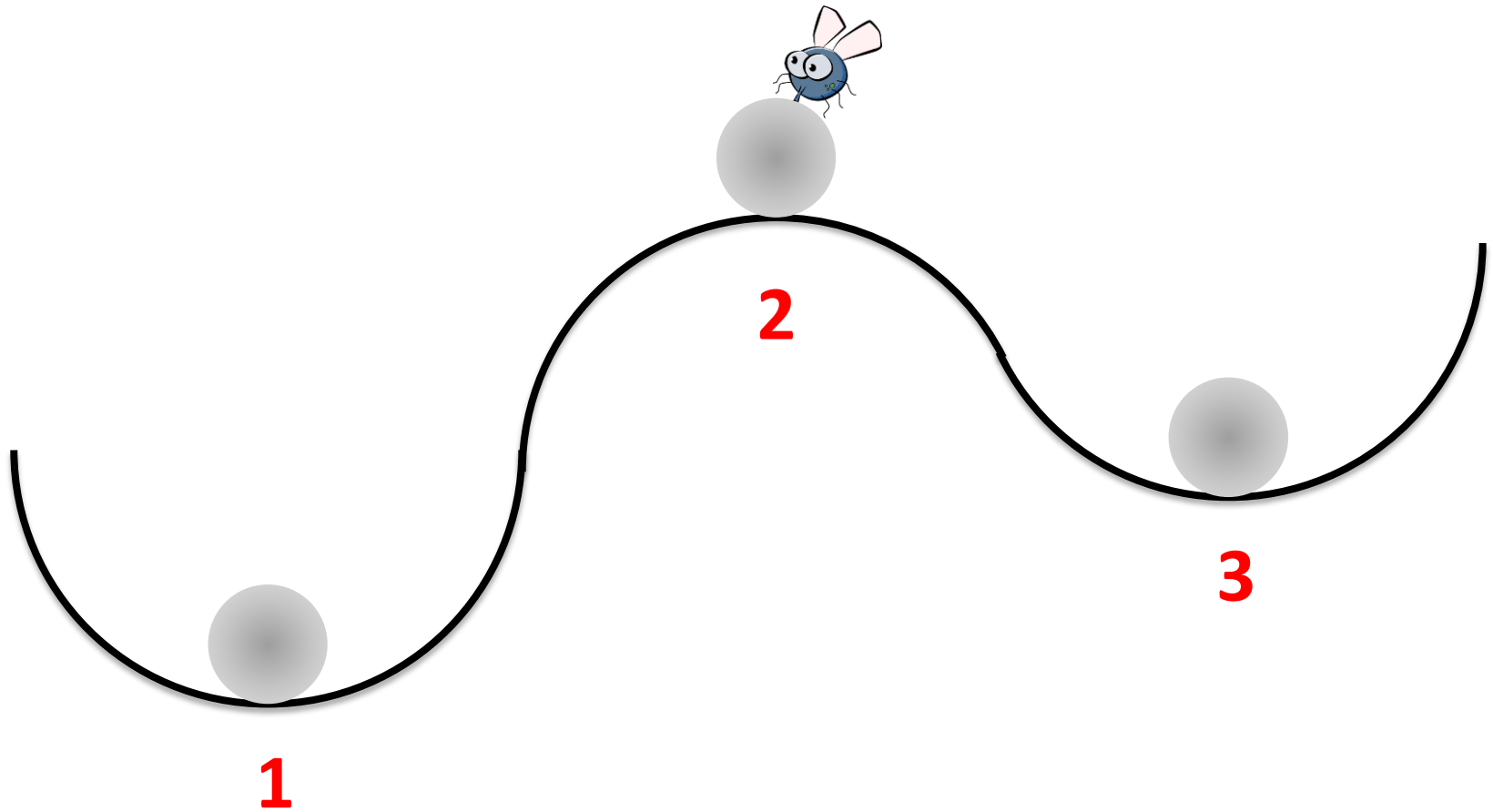


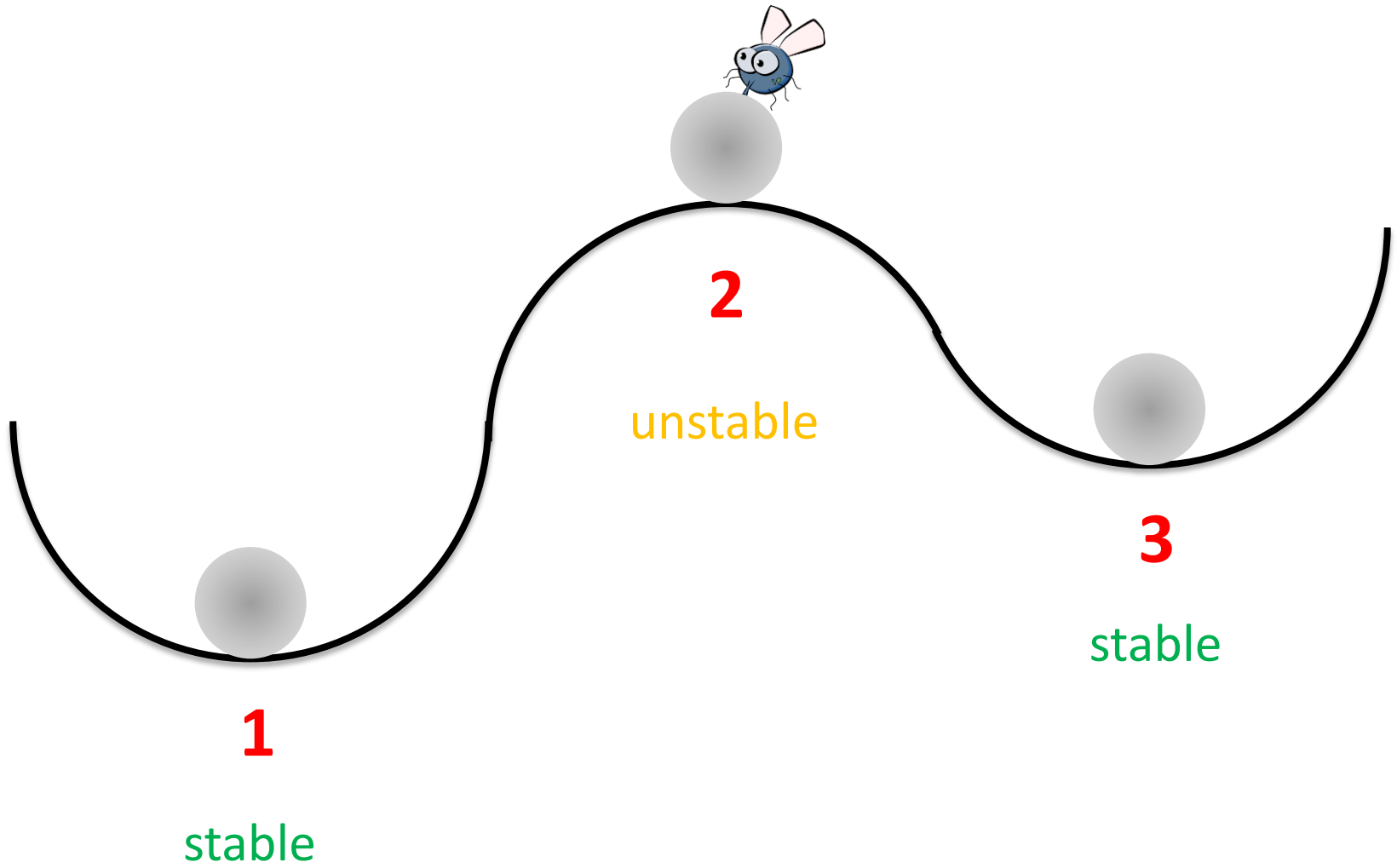
**1**











# The notion of (Lyapunov) stability

If we apply a **small perturbation** (the fly!) and the system **stays close or returns** back to its equilibrium



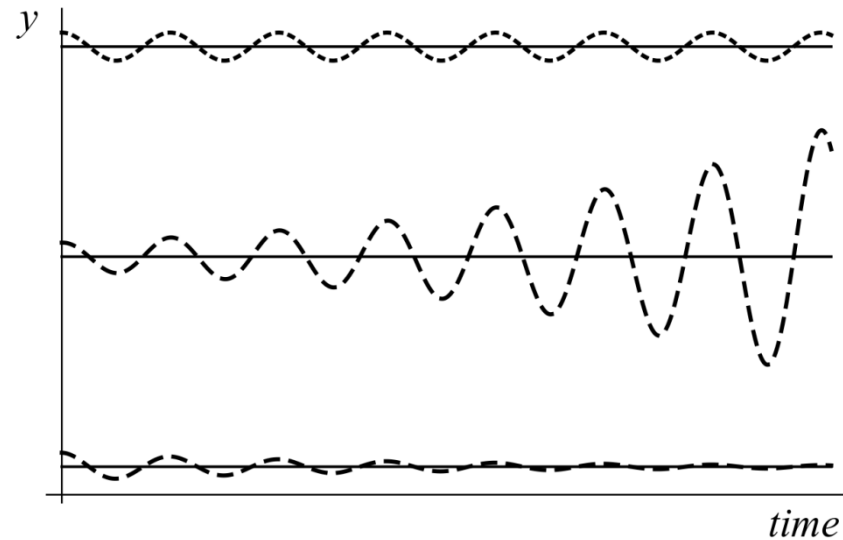
Stable equilibrium

If we apply a small perturbation and the system **moves away** from its equilibrium



Unstable equilibrium

Stability theory was formulated in 1892 by A.M.Lyapunov (1857-1917).



**Time... is central** even if we forget it or don't consider it directly in our analyses.

# Other stability postulates

- Second order work
- Hill's stability
- Mandel's stability
- Loss of ellipticity
- Loss of controllability
- ...

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- Hill's stability
- Mandel's stability
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**Confused?**

A couple of nice papers that **clarify** the applicability of many other than Lyapunov stability postulates are:

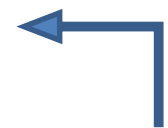
Chambon, R., D. Caillerie, and G. Viggiani (2004), Loss of uniqueness and bifurcation vs instability: some remarks, *Rev. Française Génie Civ.*, 8(5–6), 517–535.  
(ALERT School 2004)

Bigoni, D., and T. Hueckel (1991), Uniqueness and localization—I. Associative and non-associative elastoplasticity, *Int. J. Solids Struct.*, 28(2), 197–213.

Q9

The background features a dense collection of mathematical content:

- Calculus:**  $f'(t) = 18 \cos t (-\sin t) - 18 \sin t \cos t = -36 \sin t \cos t = 0$ ;  $\Delta(A_2) = \begin{vmatrix} 0 & 2\sqrt{2} \\ 2\sqrt{2} & 0 \end{vmatrix}$ ;  $\frac{\partial f}{\partial x}(A) = K_i$ ;  $\lim_{x \rightarrow a} \left[ \frac{f(x) - f(a)}{x - a} - f'(a) \right] = f'(a) - f'(a) = 0$ ;  $R_0 = \frac{\sqrt{1000}}{3\sqrt{\pi}} = \frac{10}{\sqrt{\pi}}$ ;  $\frac{\partial^2 f}{\partial x^2}(A)$ ;  $\frac{\partial^2 f}{\partial y^2}(A)$ .
- Geometry:**  $\frac{x^2}{16} + \frac{y^2}{8} \leq 1$ ;  $\frac{x^2}{16} - \frac{y^2}{9} = 1$ ;  $x^2 + y^2 + z^2 = 16$ ;  $\int \vec{f} \cdot d\vec{s}$ ;  $\int (x_i) \Delta x_i \Delta y_i \Delta z_i$ ;  $\Delta(f, D, V) = \|Df\| = P_1 + P_2 + P_3$ .
- Algebra/Calculus:**  $\frac{\partial \varphi}{\partial x}, \frac{\partial \varphi}{\partial y} = (U, V)$ ;  $\frac{\partial f}{\partial x}(A)(x-a) + \frac{\partial f}{\partial y}(A)(y-a_2) = 0$ ;  $\frac{\partial f}{\partial x}(A) = K_i$ ;  $\frac{\partial f}{\partial y}(A) = K_j$ ;  $\frac{\partial f}{\partial z}(A) = K_k$ .
- Diagrams:** 3D coordinate systems with planes, surfaces, and regions; a yellow thinking emoji with a thermometer in its mouth; a blue arrow pointing left.



Mathematical problem



# Well-posedness

- 1) A solution exists;
- 2) The solution is unique;
- 3) The solution's behavior changes continuously with the initial conditions

Jacques Hadamard. Sur les problèmes aux dérivées partielles et leur signification physique. Princeton University Bulletin, 49-52, 1902.

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*We say that a problem is **ill-posed** when it is **not well-posed**.*

# Study of strain localization

# Concept

Is the **homogeneous deformation** of a solid, **stable**?

# Instability of homogeneous deformation

Dynamic equations

of a Cauchy continuum:  $\sigma_{ij,j} = \rho \ddot{u}_i$

Equilibrium point:  $\sigma_{ij,j}^* = 0$

Let's assume that we are in a state of homogeneous deformation (everywhere the same and constant).

>>> We want to investigate **the possibility of non-homogeneous deformations** such as compaction, shear and dilation bands.

Example:

Successive equilibria  
for increasing  $P$ :

$$\sigma_{ij,j}^* = 0$$



Considering the class of materials that  $\sigma$  can be linearized (hypothesis of equivalent material/linear comparison solid):

$$\sigma_{ij} = \sigma_{ij}^* + \tilde{\sigma}_{ij} = \sigma_{ij}^* + L_{ijkl} \tilde{u}_{k,l} \quad (\text{Rice, 1976})$$

$\tilde{u}_i$  is a perturbation from the reference, homogeneous, equilibrium configuration  $u_i^*$ , such that:  $\tilde{u}_i = u_i - u_i^*$

Replacing:  $L_{ijkl} \tilde{u}_{k,lj} = \rho \ddot{\tilde{u}}_i$

$$L_{ijkl} \tilde{u}_{k,lj} = \rho \ddot{u}_i$$



$$L_{ijkl} \tilde{u}_{k,lj} = \rho \ddot{\tilde{u}}_i$$

Separation of variables:

$$\tilde{u}_i = X(x_p) U_i(t) \quad \rightarrow \quad L_{ijkl} X_{,lj} U_k(t) = \rho X \ddot{U}_i(t)$$

$$L_{ijkl} \tilde{u}_{k,lj} = \rho \ddot{\tilde{u}}_i$$

Separation of variables:

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General solution in time:

$$U_i(t) = g_i e^{st}$$

$$L_{ijkl} \tilde{u}_{k,lj} = \rho \ddot{\tilde{u}}_i$$

Separation of variables:

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General solution in time:

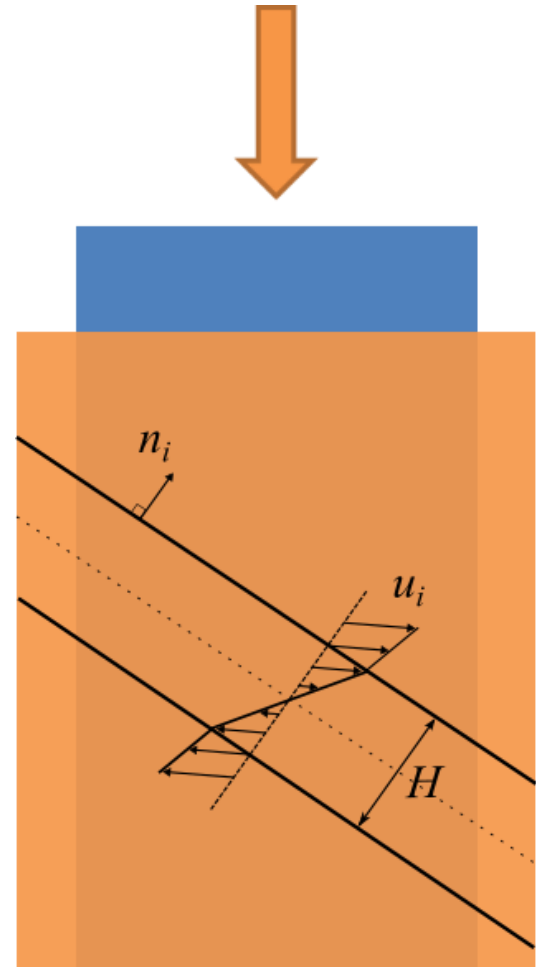
$$U_i(t) = g_i e^{st}$$

Leading to:  $(L_{ijkl} X_{,lj} - \rho X s^2 \delta_{ik}) g_k = 0$

# Deformation bands

Allowing plane wave solutions for  $X$  that satisfy the  $BC$ 's

$$X(x_p) = e^{i \frac{2\pi}{\lambda} n_p x_p}$$



$$\left[ \Gamma_{ik} + \rho \left( \frac{\lambda s}{2\pi} \right)^2 \delta_{ik} \right] g_k = 0$$

$$\Gamma_{ik} = n_j L_{ijkl} n_l \quad (\text{acoustic tensor})$$

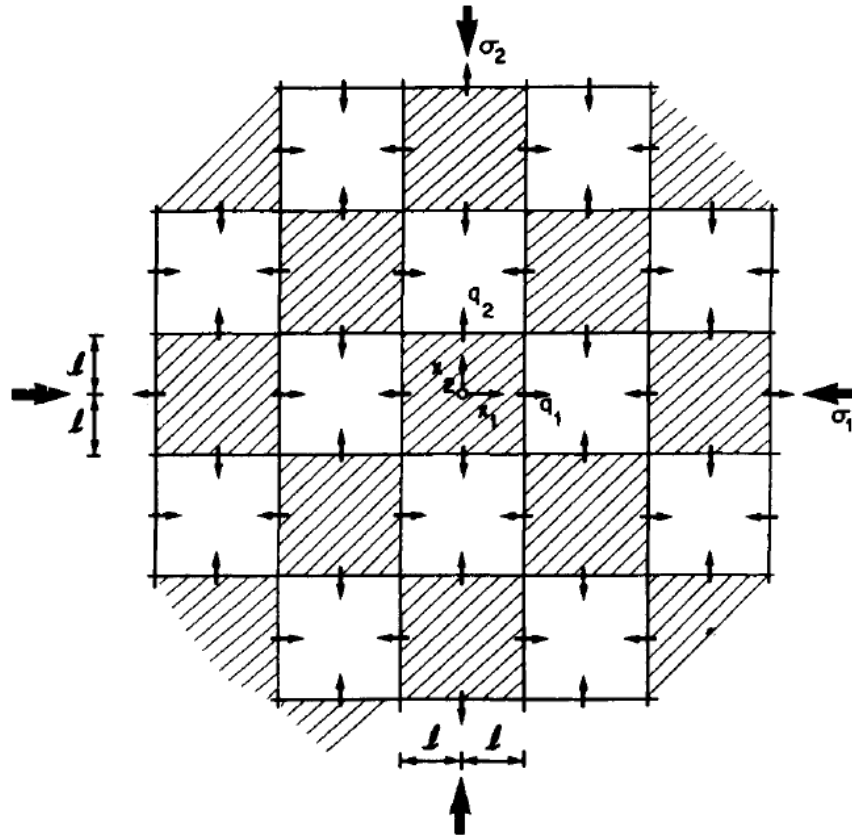
If  $\rho \left( \frac{\lambda s}{2\pi} \right)^2 = \text{something} > 0 \Rightarrow \text{Re}(s) > 0$  then

the homogeneous solution is **unstable and the system will bifurcate to a non-uniform solution** (which we do not need to find).

The above condition is independent of the specific constitutive law, provided that it is rate-independent.

**Q10**

# Q10



(Vardoulakis & Sulem, 1995)



# Types of deformation bands

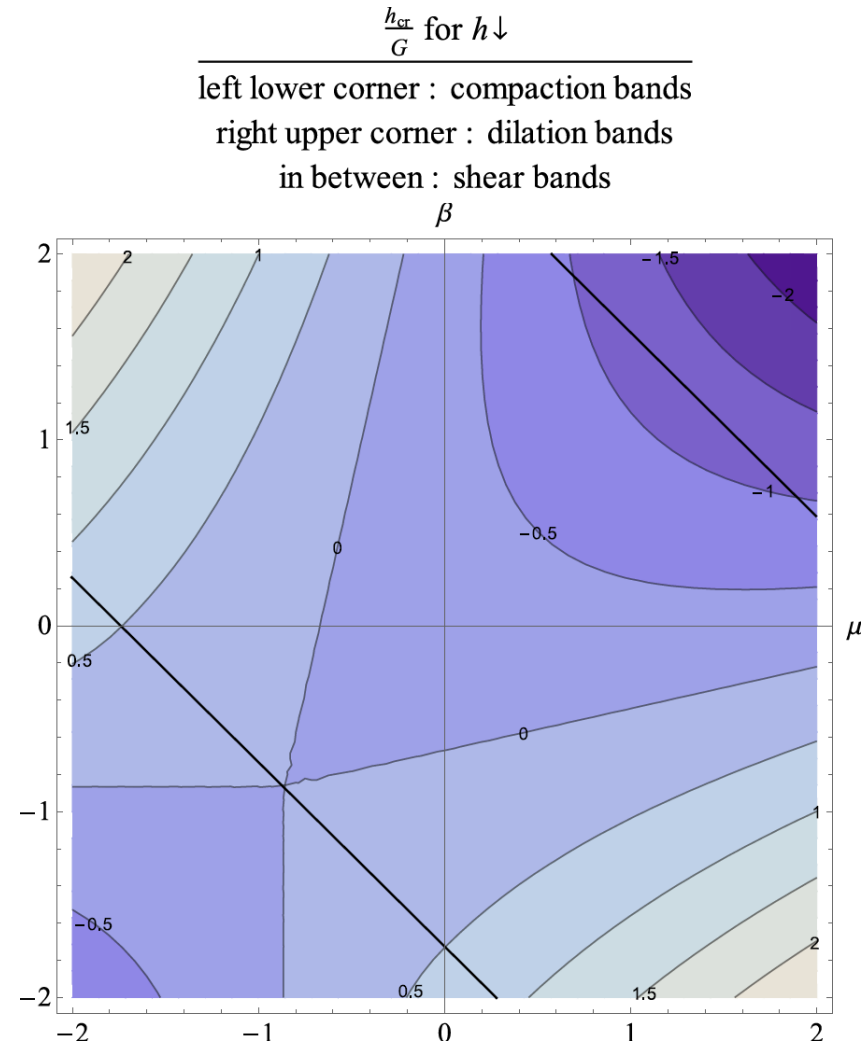
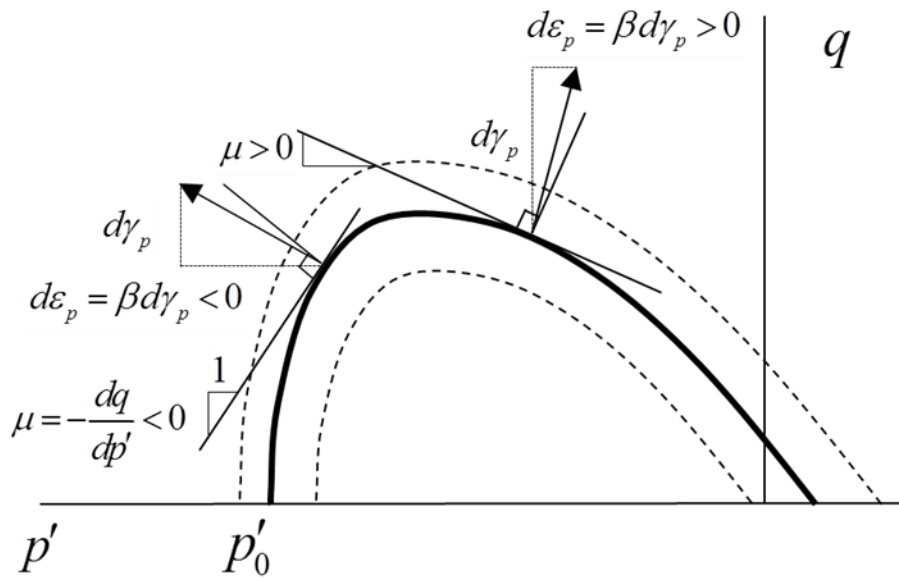
The **type of the deformation band** (compaction, shear or dilation band) is determined by the product  $g_i n_i$ .

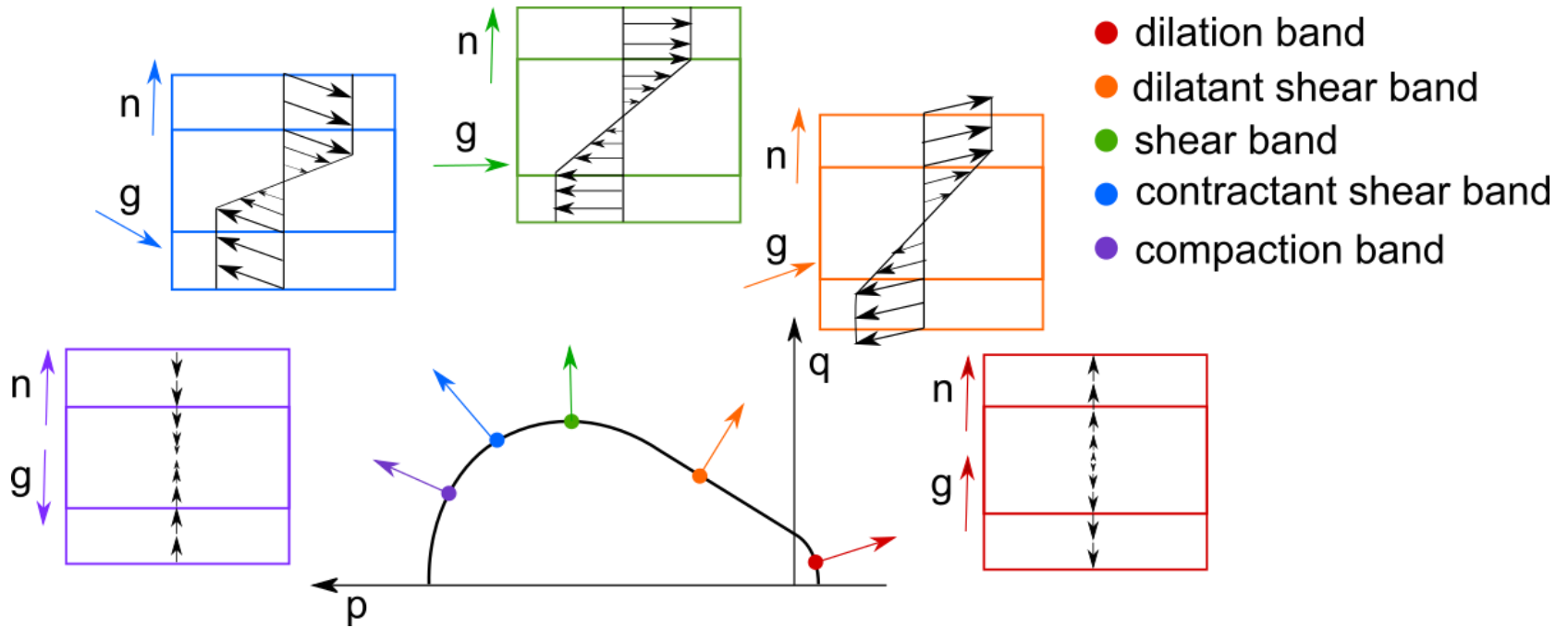
$g_i n_i = -1$       pure compaction band

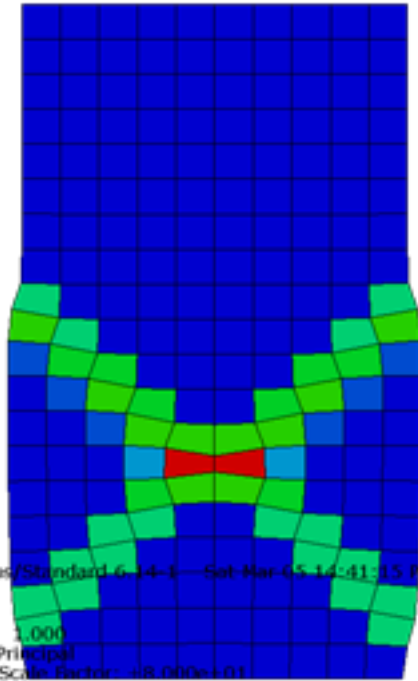
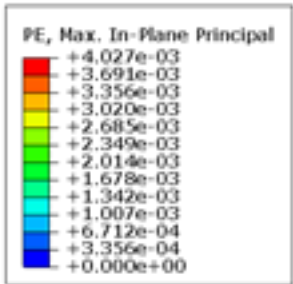
$g_i n_i = 0$       shear band


$g_i n_i = +1$       pure dilation band

# Example

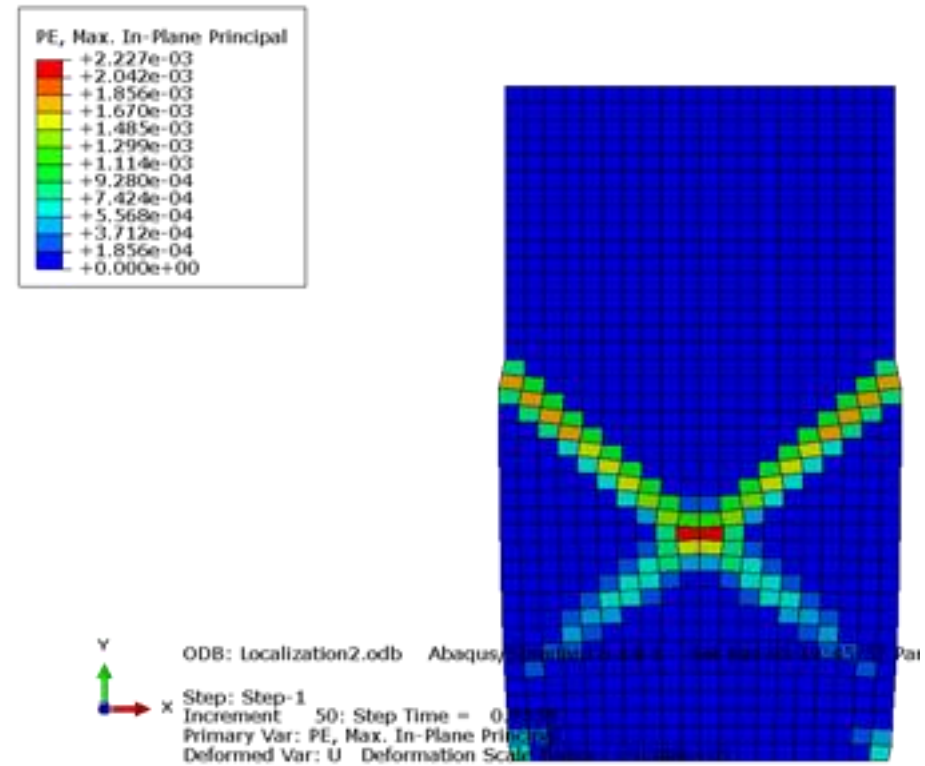
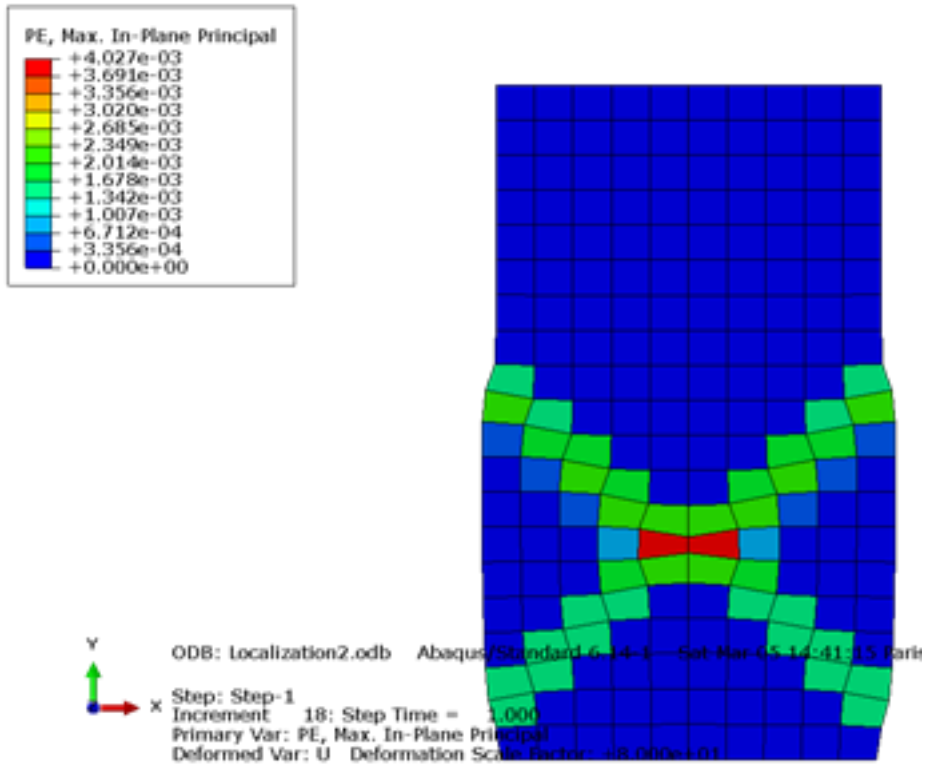


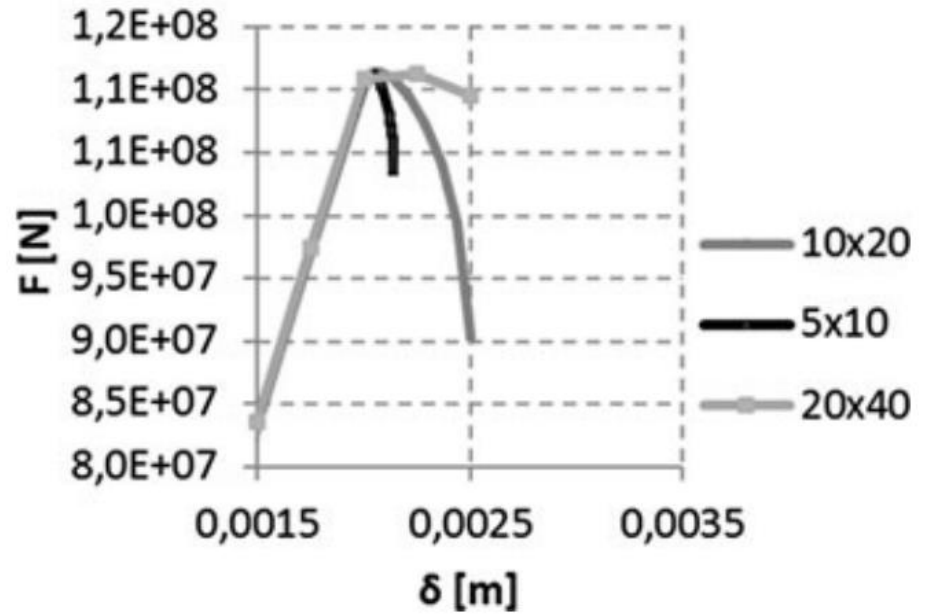
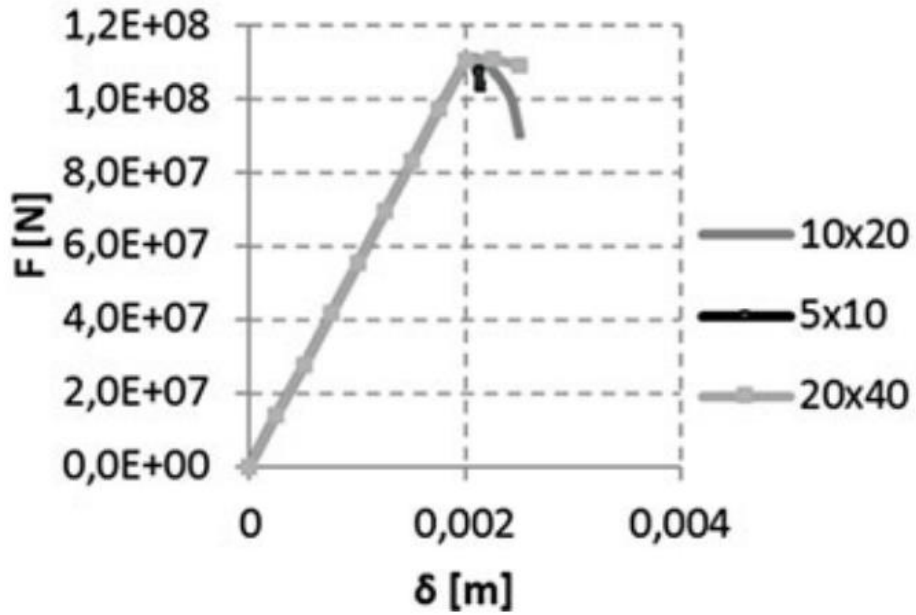





 ODB: Localization2.odb    Abaqus/Standard 6.14-1    Sat Mar 05 14:41:15 Paris  
 Step: Step-1  
 Increment 18; Step Time = 1.000  
 Primary Var: PE, Max. In-Plane Principal  
 Deformed Var: U    Deformation Scale Factor: 0.000e+01

# Mesh dependency





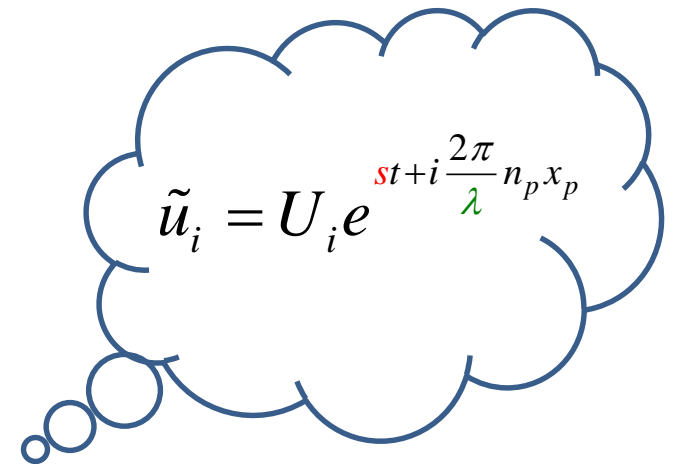
# Q11

# Mathematical explanation

$$\rho \left( \frac{\lambda s}{2\pi} \right)^2 = sth > 0 \quad \Rightarrow \quad s = \frac{2\pi}{\lambda} \sqrt{\frac{sth}{\rho}}$$

The perturbation that propagates the fastest in the medium maximizes  $s$  and therefore minimizes  $\lambda$ .

*Localization happens on a mathematical plane ( $\lambda \rightarrow 0$ ).*


$$\tilde{u}_i = U_i e^{st + i \frac{2\pi}{\lambda} n_p x_p}$$

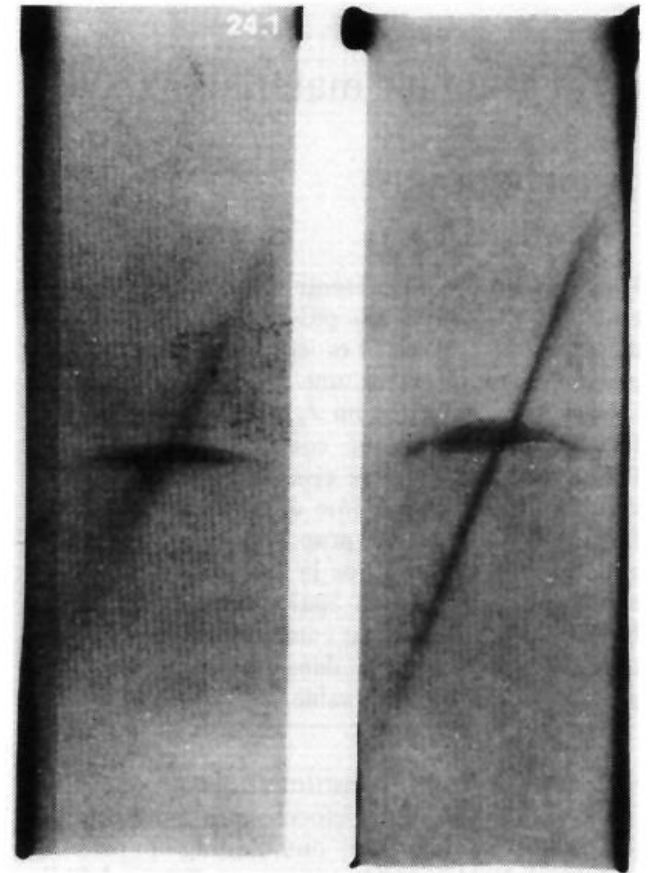


But this is not in accordance with experiments, which show that deformation bands have a **finite thickness**, controllable by the grain size (at least).

These experiments are very slow for the material to show any rate dependent sensitivity (Zheng et Zhao et al., 2013). So it seems not to be related to viscous effects, at least at 1<sup>st</sup> order.

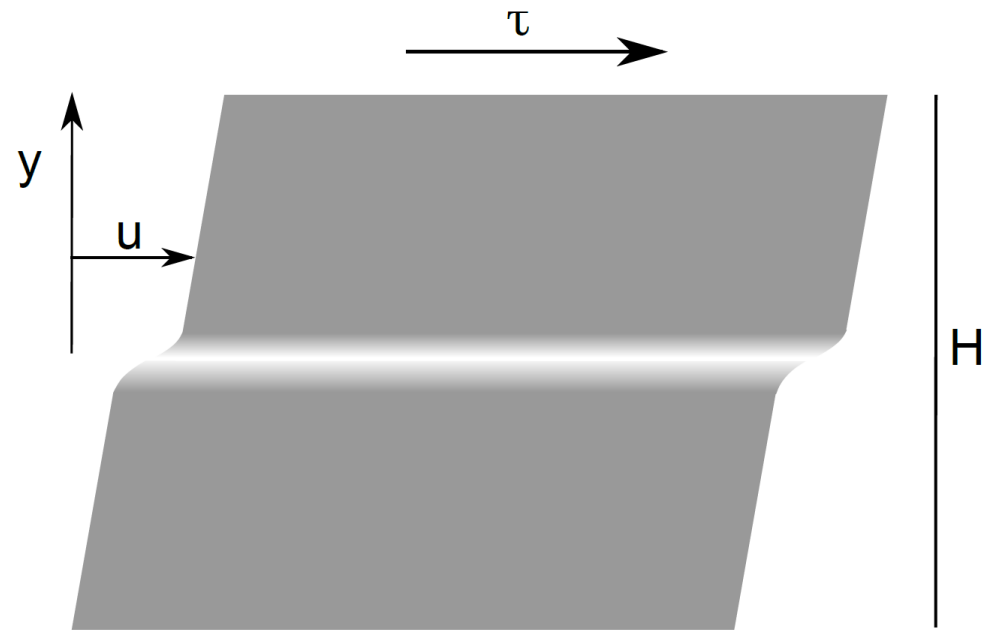
The reason seems to be the **absence of internal lengths in Cauchy medium**.

Higher order micromorphic continua, e.g. Cosserat (microstructure) and THM couplings are some approaches for inserting more physics into the problem leading to finite band thickness.



(Mühlhaus & Vardoulakis, 1987)

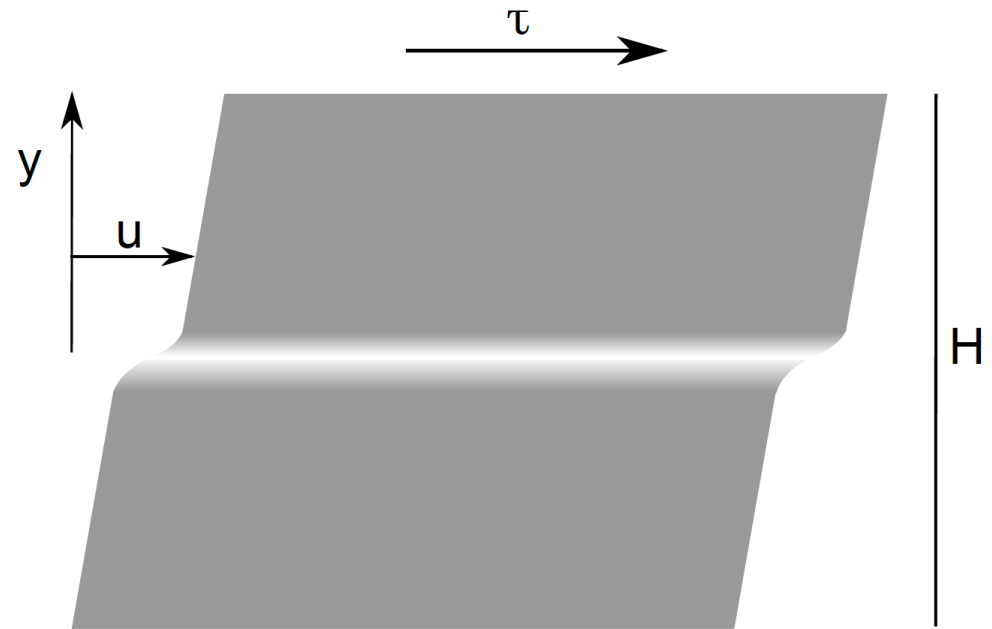
# Exercise #1: Cauchy elasto-plastic layer



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Elasto-plasticity:

$$F = \sigma_{12} - \tau_0 \leq 0$$



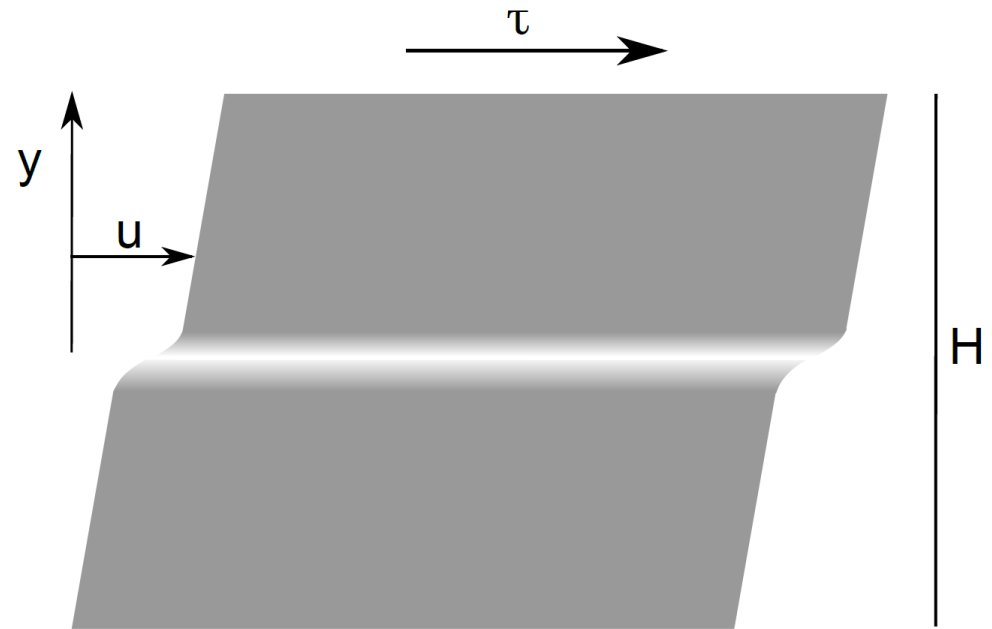
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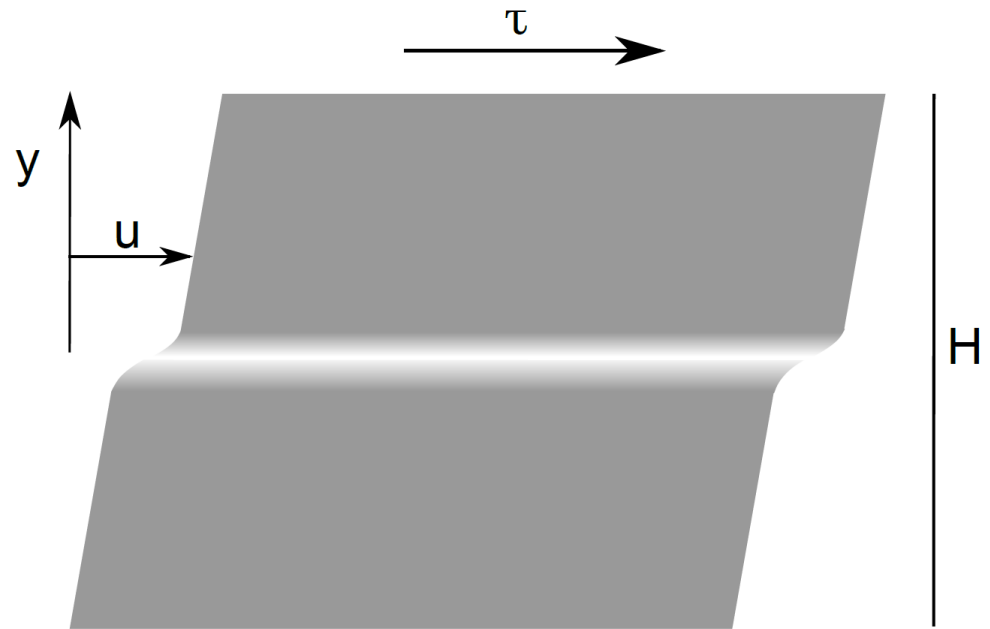
# Exercise #1: Cauchy elasto-plastic layer

Elasto-plasticity:

$$F = \sigma_{12} - \tau_0 \leq 0$$

Small strains:

$$\dot{\varepsilon}_{ij} = \dot{\varepsilon}_{ij}^{el} + \dot{\varepsilon}_{ij}^{pl}$$



Linear elasticity:

$$\sigma_{ij} = K \varepsilon_{kk}^{el} \delta_{ij} + 2G \left( \varepsilon_{ij}^{el} - \frac{1}{3} \varepsilon_{kk}^{el} \delta_{ij} \right)$$



**$x_1, x_3$  invariance and momentum balance:**

$$\frac{\partial \sigma_{12}}{\partial x_2} = \rho \ddot{u}_1; \quad \frac{\partial \sigma_{22}}{\partial x_2} = \rho \ddot{u}_2$$

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**Steady-state:**

$$\sigma_{12} = \sigma_{12}^* = \tau_0$$

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This state will be **stable** as long as any **perturbations do not grow** in time.

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This state will be **stable** as long as any **perturbations do not grow** in time.

By perturbing the displacement fields:  $u_i = u_i^* + \tilde{u}_i$

$$\frac{\partial \tilde{\sigma}_{12}}{\partial x_2} = \rho \ddot{\tilde{u}}_1; \quad \frac{\partial \tilde{\sigma}_{22}}{\partial x_2} = \rho \ddot{\tilde{u}}_2$$



## Incremental law:

$$\tilde{\sigma}_{12} = 2G \frac{h}{1+h} \tilde{\varepsilon}_{12}$$

$$\tilde{\sigma}_{22} = M \tilde{\varepsilon}_{22}$$

where  $M = K + \frac{4G}{3}$  is the p-wave elastic modulus,

$h = \frac{1}{G} \frac{d\tau_0}{d\alpha} > -1$  is the hardening modulus, with  $\dot{\alpha} = \dot{\gamma}_{(12)}^{pl}$

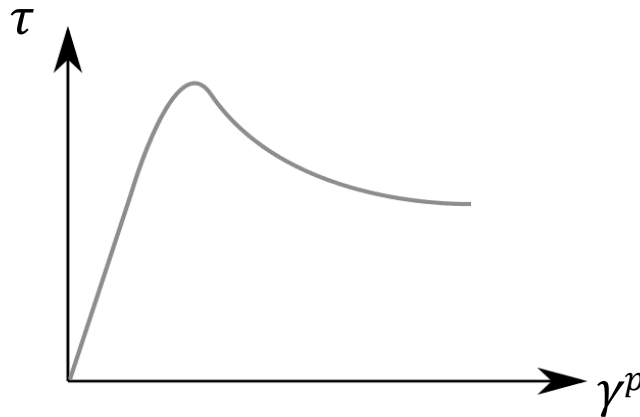
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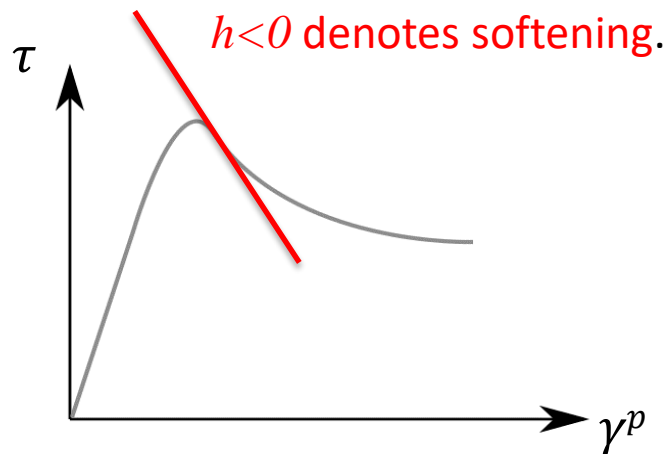
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## Boundary conditions:

The perturbations  $\tilde{u}_i$  have to fulfill the boundary conditions:

$$\tilde{\sigma}_{12} \left( x_2 = \pm \frac{H}{2} \right) = \tilde{\sigma}_{22} \left( x_2 = \pm \frac{H}{2} \right) = 0$$

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**General solution of**  $\frac{\partial \tilde{\sigma}_{12}}{\partial x_2} = \rho \ddot{\tilde{u}}_1; \quad \frac{\partial \tilde{\sigma}_{22}}{\partial x_2} = \rho \ddot{\tilde{u}}_2 \quad :$

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$$\tilde{u}_i = g_i e^{st + i k n_j x_j} = g_i e^{st + i k x}$$

## Growth coefficient (Lyapunov exponent):

$$s = ikv_p \quad \text{or}$$

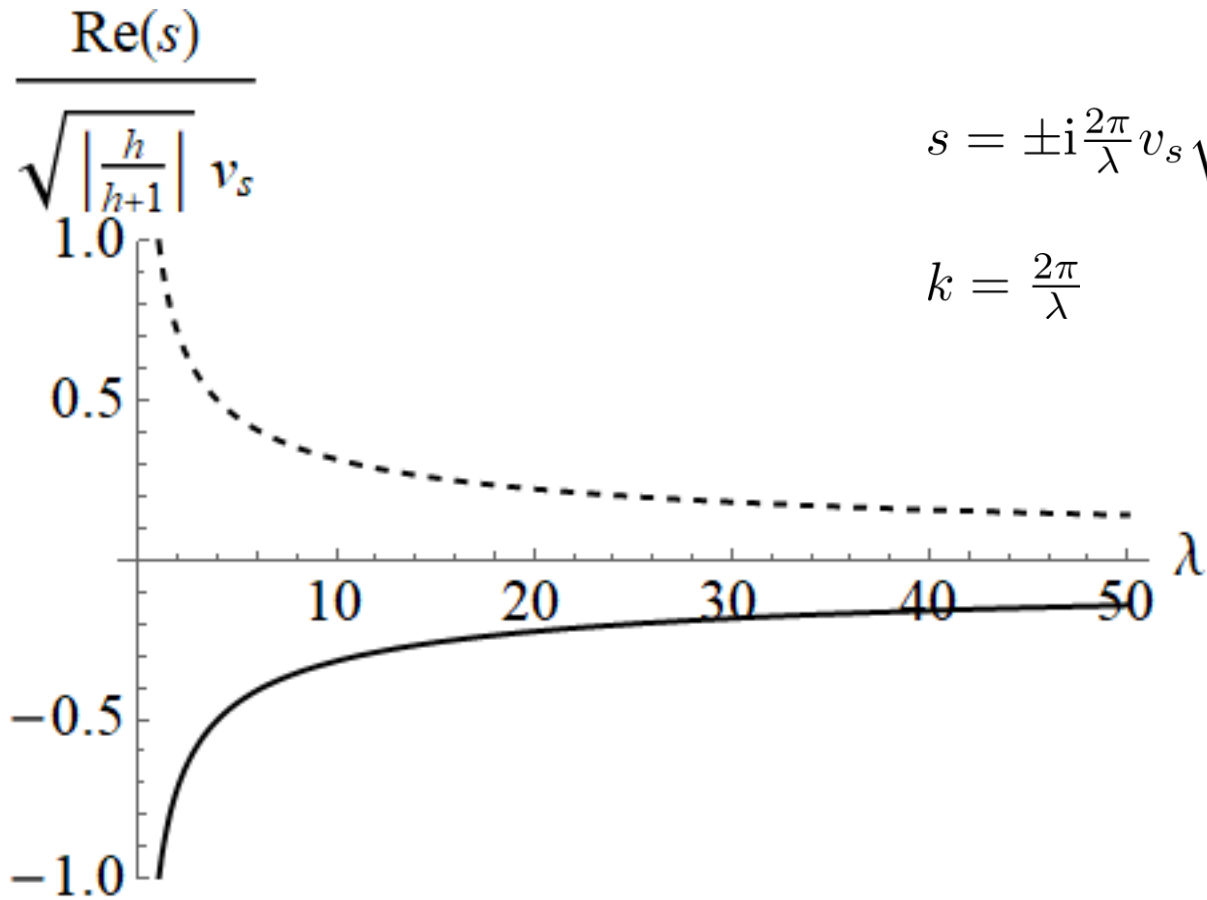
$$s = \pm ikv_s \sqrt{\frac{h}{h+1}}$$

where  $v_p = \sqrt{\frac{M}{\rho}}$  is the p-wave and  $v_s = \sqrt{\frac{G}{\rho}}$  the shear velocity.

# Q12

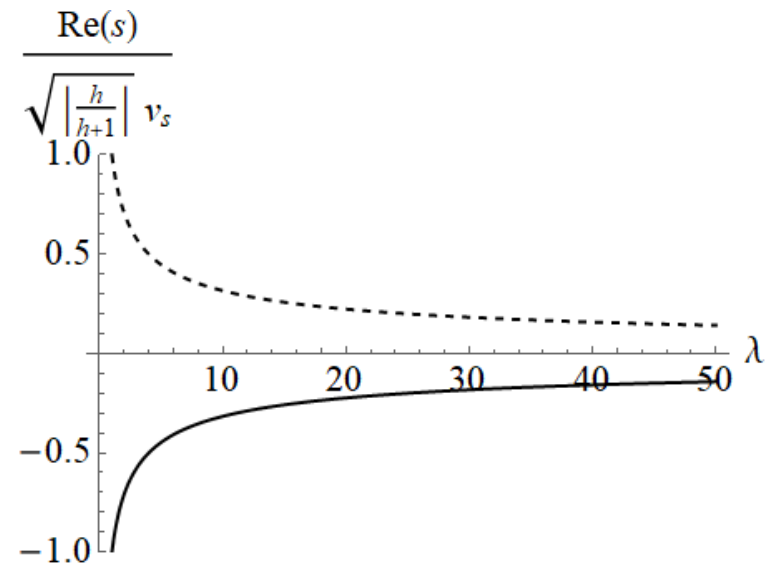
**Instability of homogeneous (reference) deformation (=>localization):**

$$Re(s) > 0$$



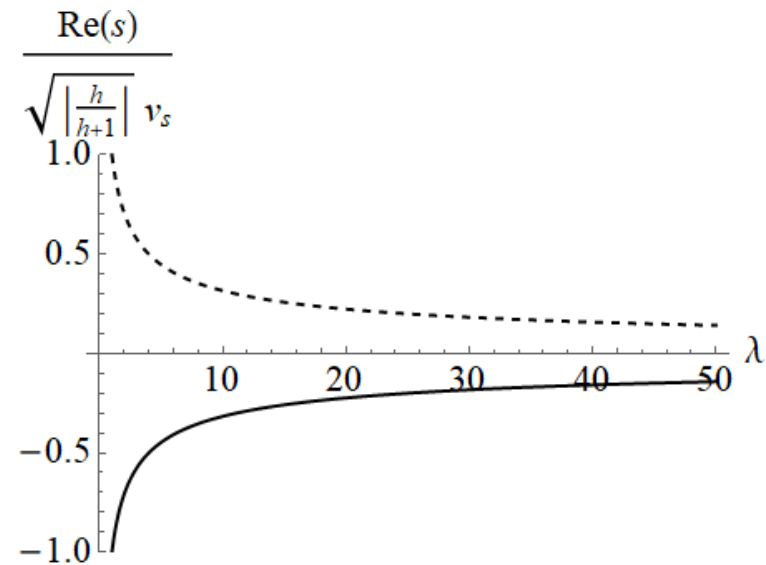


# Summary of pathologies



1. Infinite rate of growth
2. Localization at zero wavelength/thickness (infinite wave number)

# Summary of pathologies



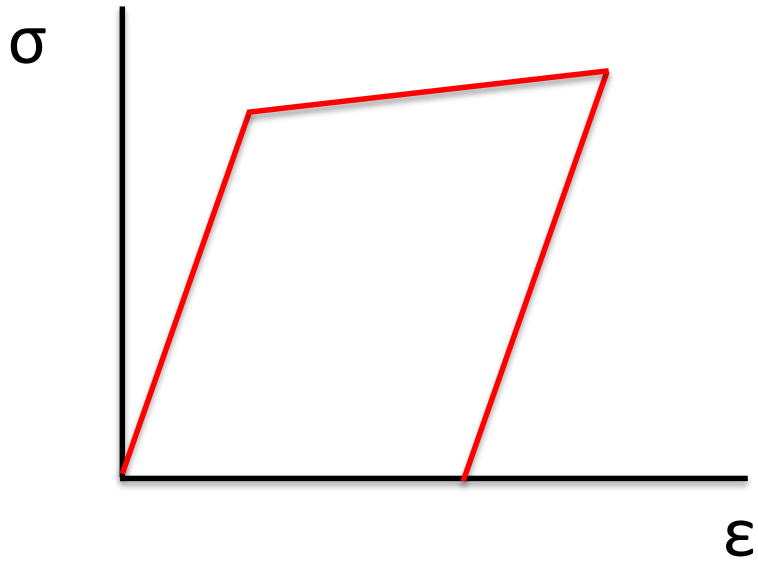
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Lack of characteristic **time** and **length** scale

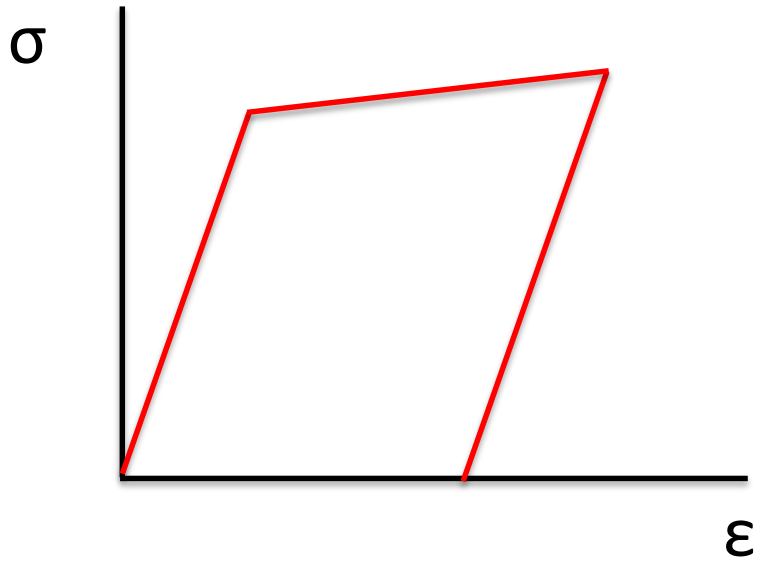
# Constitutive behavior of solids

# Q13

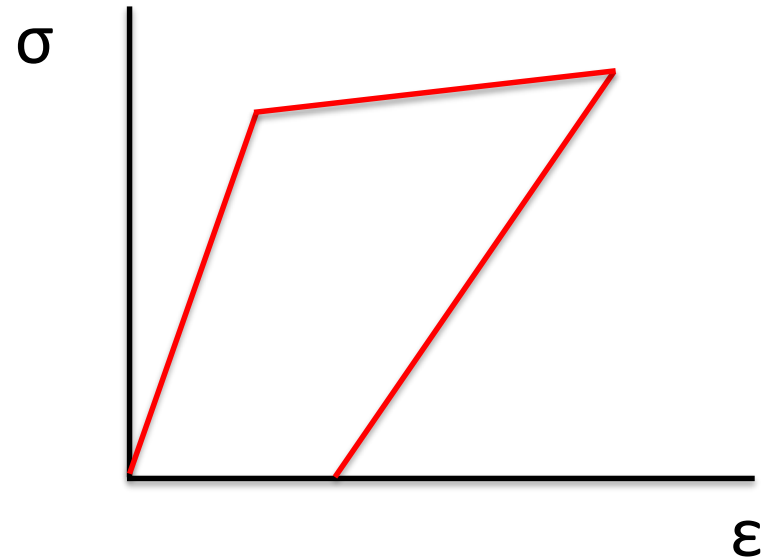




Elastoplasticity with  
hardening

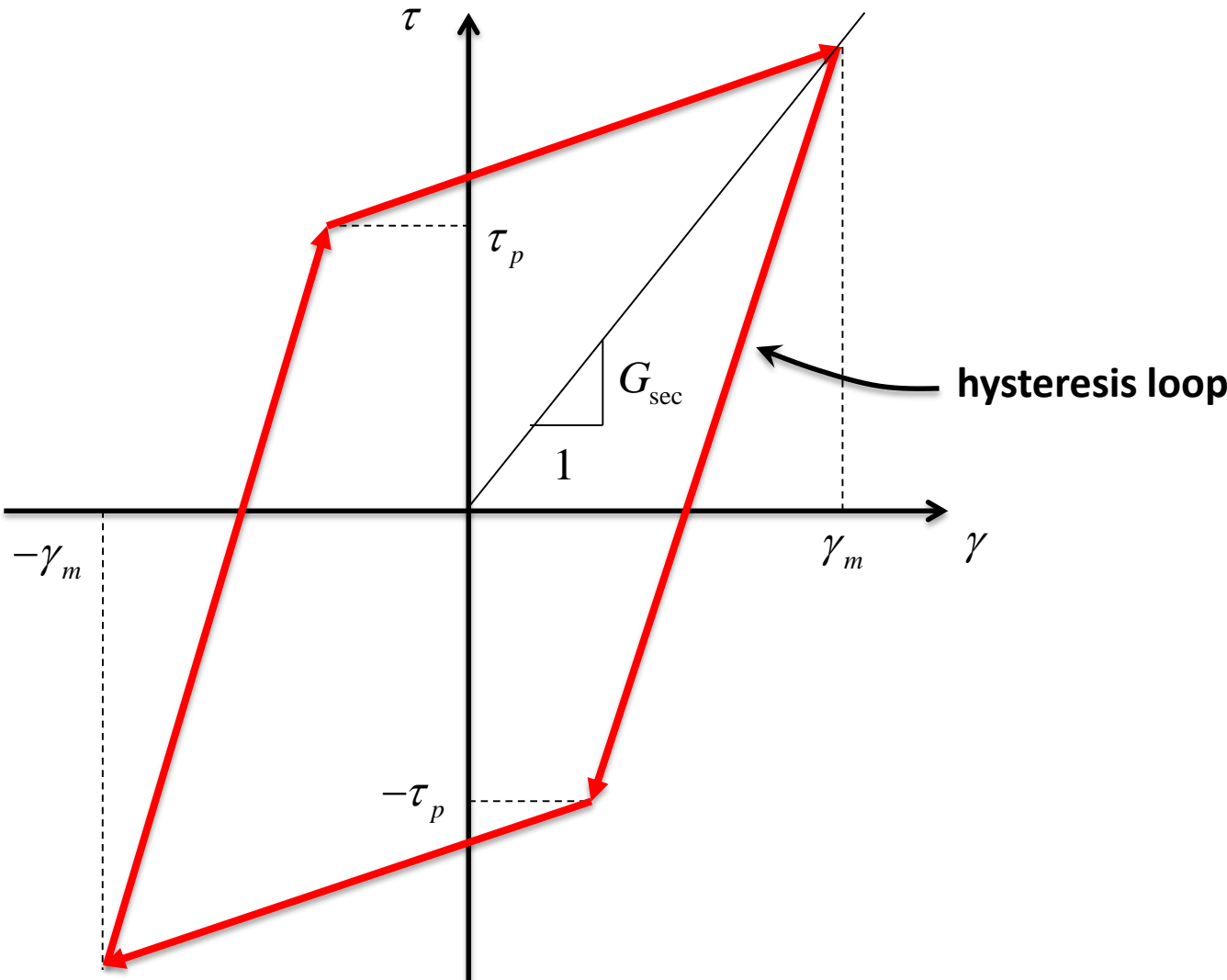


Elastoplasticity with hardening



Elastoplasticity with hardening and damage

# Example: Cyclic loading





# Q14

# Viscous regularization (characteristic time)

Materials whose mechanical response depends on the **rate of deformation** are called viscous or rate-dependent:

$$\sigma_{ij} = \sigma_{ij} (\varepsilon_{ij}, \dot{\varepsilon}_{ij}, \dots)$$

Linearized form:

$$\tilde{\sigma}_{ij} = L_{ijkl} \tilde{\varepsilon}_{kl} + M_{ijkl} \dot{\tilde{\varepsilon}}_{kl}$$

Replacing into the balance equation:

$$\sigma_{ij,j} = \rho \ddot{u}_i,$$

yields:

$$L_{ijkl} \tilde{u}_{k,lj} + M_{ijkl} \dot{\tilde{u}}_{k,lj} = \rho \ddot{\tilde{u}}_i.$$

The above equation is linear and for deformation bands it takes solutions of the form  $\tilde{u}_i = g_i e^{ikn_i x_i + st}$ .

Finally:

$$\left[ n_j L_{ijkl} n_l + n_j M_{ijkl} n_l s + \rho \left( \frac{s}{k} \right)^2 \delta_{ik} \right] g_k = 0$$

or

$$\left[ \Gamma_{ik} + \Delta_{ik} s + \rho \left( \frac{\lambda s}{2\pi} \right)^2 \delta_{ik} \right] g_k = 0$$

# Scaling

Let

$$\tau = \frac{t}{T}, \quad \chi_k = \frac{x_k}{L},$$

where  $T$  is a characteristic time and  $L$  a characteristic length.

Then we obtain:

$$\left[ \frac{\Gamma_{ik}}{G} + \frac{\Delta_{ik}}{GT} \hat{s} + \left( \frac{L}{v_s \hat{k} T} \right)^2 \hat{s}^2 \delta_{ik} \right] g_k = 0,$$

where  $v_s$  is the shear-wave velocity,  $v_s = \sqrt{\frac{G}{\rho}}$ ,  $\hat{s} = sT$  and  $\hat{k} = kL$ .

# Case #1: Negligible inertia

$$\left[ \frac{\Gamma_{ik}}{G} + \frac{\Delta_{ik}}{GT} \hat{s} + \left( \frac{L}{v_s \hat{k} T} \right)^2 \hat{s}^2 \delta_{ik} \right] g_k = 0$$

Let  $\frac{\Gamma_{ik}}{G}$  and  $\frac{\Delta_{ik}}{GT}$  are terms of  $O(1)$  and  $\frac{L^2}{v_s^2 \hat{k}^2 T^2}$  of  $O(\varepsilon)$ ,  $\varepsilon \ll 1$ .

- $\frac{\Delta_{ik}}{GT_{visc}} = c_{ik} \approx O(1)$  leads to:

$$T_{visc} = c_{ik} \frac{\Delta_{ik}}{G}.$$

- $\frac{L^2}{v_s^2 \hat{k}^2 T_{visc}^2} \ll 1$  yields:

$$T_{visc} \gg \frac{L}{v_s \hat{k}} \Rightarrow c_{ik} \frac{\Delta_{ik}}{G} \gg \frac{L \hat{\lambda}}{v_s 2\pi} \Rightarrow$$

$$\hat{\lambda} \ll 2\pi v_s \frac{c_{ik} \Delta_{ik}}{GL} \equiv \hat{\lambda}^*.$$

$$\left[ \frac{\Gamma_{ik}}{G} + \frac{\Delta_{ik}}{GT} \hat{s} + \left( \frac{L}{v_s k T} \right)^2 \hat{s}^2 \delta_{ik} \right] g_k = 0$$

So, when  $\lambda \ll \lambda^* = 2\pi v_s T_{visc}$  inertia terms can be dropped:

$$\left( \frac{\Gamma_{ik}}{G} + \frac{\Delta_{ik}}{G} \frac{\hat{s}}{T_{visc}} + \varepsilon \hat{s}^2 \delta_{ik} \right) g_k = 0 \Rightarrow$$

$$\left( \frac{\Gamma_{ik}}{G} + c_{ik} s \right) g_k = 0$$



Assuming strain localization in an isotropic rock with:

$$G \approx 30\text{GPa}, c_{ij}\Delta_{ij} = \eta \approx 20\text{MPas} \text{ and } v_s \approx 2000\text{m/s}$$

then  $\lambda^* \simeq 8m$ , which is **much larger** than the localization thickness of a deformation band (some millimeters or even smaller).

Therefore, for typical applications viscosity effects dominate over inertial ones. In other words:

**License to kill inertia!**

(for typical localization problems)

## Case #2: Negligible viscosity

$$\left[ \frac{\Gamma_{ik}}{G} + \frac{\Delta_{ik}}{GT} \hat{s} + \left( \frac{L}{v_s \hat{k} T} \right)^2 \hat{s}^2 \delta_{ik} \right] g_k = 0$$

Suppose  $\frac{\Gamma_{ik}}{G}$  and  $\frac{L^2}{v_s^2 \hat{k}^2 T^2}$  are terms of  $O(1)$  and  $\frac{\Delta_{ik}}{GT}$  of  $O(\varepsilon)$ .

- $\frac{L^2}{v_s^2 \hat{k}^2 T^2} \approx O(1)$  results:

$$T_{iner} = \frac{L}{v_s \hat{k}} = \frac{\hat{\lambda} L}{2\pi v_s}.$$

- $\frac{\Delta_{ik}}{GT} \ll 1$  yields:

$$c_{ik} \frac{\Delta_{ik}}{G} \ll T_{iner} = \frac{\hat{\lambda} L}{2\pi v_s} \Rightarrow$$

$$\hat{\lambda} \gg \hat{\lambda}^* = 2\pi v_s \frac{c_{ik} \Delta_{ik}}{GL}.$$

$$\left[ \frac{\Gamma_{ik}}{G} + \cancel{\frac{\Lambda_{ik}}{G} \hat{s}} + \left( \frac{L}{v_s \hat{k} T} \right)^2 \hat{s}^2 \delta_{ik} \right] g_k = 0$$

So for very large wave lengths  $\lambda \gg \lambda^*$  viscosity terms can be dropped:

$$\left( \frac{\Gamma_{ik}}{G} + s^2 \delta_{ik} \right) g_k = 0$$

## Case #3: Negligible rate-independency

$$\left[ \frac{\Gamma_{ik}}{G} + \frac{\Delta_{ik}}{GT} \hat{s} + \left( \frac{L}{v_s \hat{k} T} \right)^2 \hat{s}^2 \delta_{ik} \right] g_k = 0$$

We assume a new time-scale, such that  $\tau_{v\&i} = \varepsilon^{-a} \tau$ . This leads to:

$$\left[ \frac{\varepsilon^a \Gamma_{ik}}{G} + \frac{\Delta_{ik}}{GT} \hat{s} + \varepsilon^{-a} \left( \frac{L}{v_s \hat{k} T} \right)^2 \hat{s}^2 \delta_{ik} \right] g_k = 0$$

Assuming  $\varepsilon^{-a} \left( \frac{L}{v_s \hat{k} T} \right)^2$  and  $\frac{\Delta_{ik}}{GT}$  to be terms of  $O(1)$  and  $\varepsilon^a \frac{\Gamma_{ik}}{G}$  of  $O(\varepsilon)$

with  $\varepsilon \ll 1$  we obtain that  $a = 1$ ,  $T = T_{visc}$  and  $\varepsilon = \frac{L^2}{v_s^2 \hat{k}^2 T^2} = \frac{T_{inertia}^2}{T_{visc}^2}$ .

Therefore we get:

$$\left( \frac{\varepsilon \Gamma_{ik}}{G} + c_{ik} \hat{s} + \hat{s}^2 \delta_{ik} \right) g_k = 0 \Rightarrow \boxed{(c_{ik} \hat{s} + \hat{s}^2 \delta_{ik}) g_k = 0}$$

and

$$T_{v\&i} = \varepsilon T_{visc} = \frac{T_{iner}^2}{T_{visc}} < T_{inner} < T_{visc}$$

# Summarizing:

Depending on the material parameters and the characteristic time of the phenomenon we study we can have:

- $T = T_{iner} \ll T_{visc}$  ( $\lambda \ll \lambda^*$ ) inertia terms can be dropped:

$$\left( \frac{\Gamma_{ik}}{G} + c_{ik}s \right) g_k = 0$$

*Localization thickness depends on the perturbation (no wavelength selection) and the rate of growth  $s$  is finite*

- $T = T_{visc} \ll T_{iner}$  ( $\lambda \gg \lambda^*$ ) viscosity terms can be dropped:

$$\left( \frac{\Gamma_{ik}}{G} + s^2 \delta_{ik} \right) g_k = 0$$

*Localization thickness is zero and the rate of growth  $s$  is infinite*

- $T = T_{v\&i} \ll T_{iner} \ll T_{visc}$  rate-independent terms can be dropped:

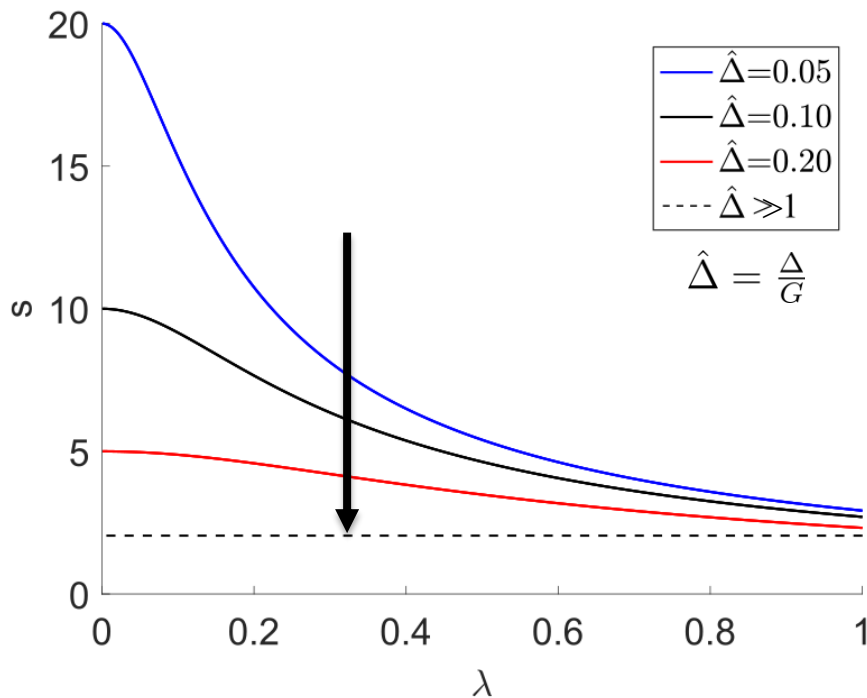
$$(c_{ik}\hat{s} + \hat{s}^2\delta_{ik})g_k = 0$$

*If the material is not rate-softening no localization happens*

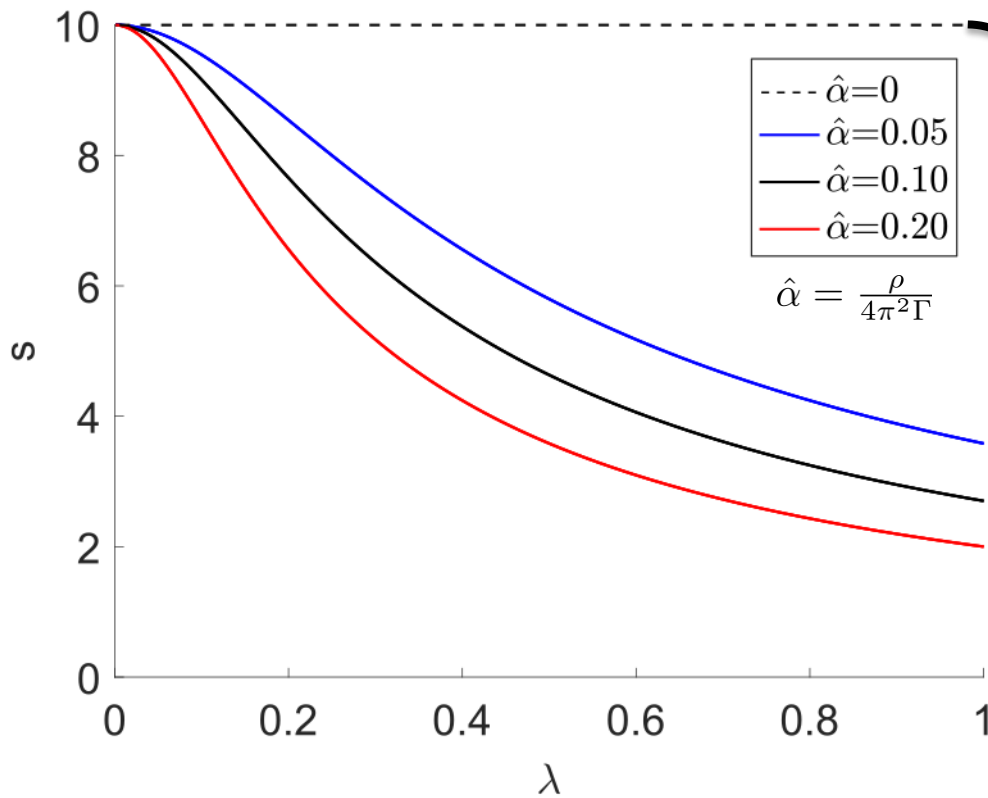
# 1D example

$$\left[ \Gamma_{ik} + \Delta_{ik}s + \rho \left( \frac{s}{k} \right)^2 \delta_{ik} \right] g_k = 0 \rightarrow \Gamma + \Delta s + \rho \left( \frac{s}{k} \right)^2 = 0$$

$$\frac{\Gamma}{G} + \frac{\Delta}{G}s + \left( \frac{\lambda}{2\pi v_s} \right)^2 s^2 = 0$$



- Perturbation growing fastest has  $\lambda=0$
- $s$  is **finite**



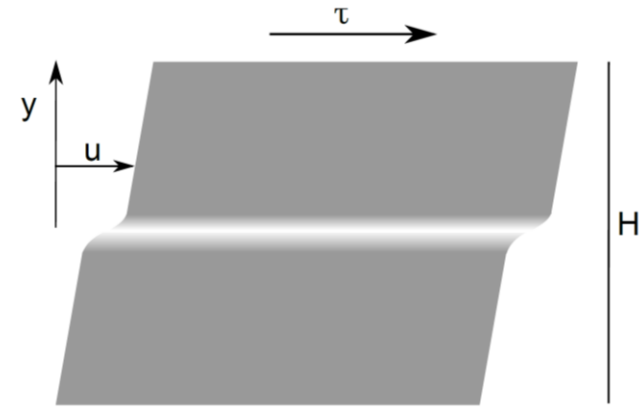
All perturbations propagate with the same rate:  
**No wave-length selection**

$$\frac{\Gamma}{G} + \frac{\Delta}{G}s + \left(\frac{\lambda}{2\pi v_s}\right)^2 s^2 = 0$$

See also:  
[Needleman, 1988](#)  
[Wang et al., 1997](#)



# Exercise #2: Perzyna layer



Elasto-visco-plasticity:

$$F = \sigma_{12} - \tau_0$$

Deformation is split in elastic and viscoplastic parts:

$$\dot{\epsilon}_{ij} = \dot{\epsilon}_{ij}^{el} + \dot{\epsilon}_{ij}^{vpl}$$

According to Perzyna (1966):

$$\dot{\epsilon}_{ij}^{vp} = \dot{\lambda} \frac{\partial F}{\partial \sigma_{ij}} = \frac{F}{\eta f_0} \frac{\partial F}{\partial \sigma_{ij}},$$

where  $\eta$  is the viscosity and  $f_0 = \tau_0$ .

From the definition of the plastic multiplier  $\dot{\lambda}$ :

$$\dot{F} = \eta f_0 \ddot{\lambda} \Rightarrow \dot{\sigma}_{12} = 2G \frac{h}{1+h} \dot{\epsilon}_{12} + \frac{\eta f_0}{1+h} \ddot{\lambda}$$

And finally:

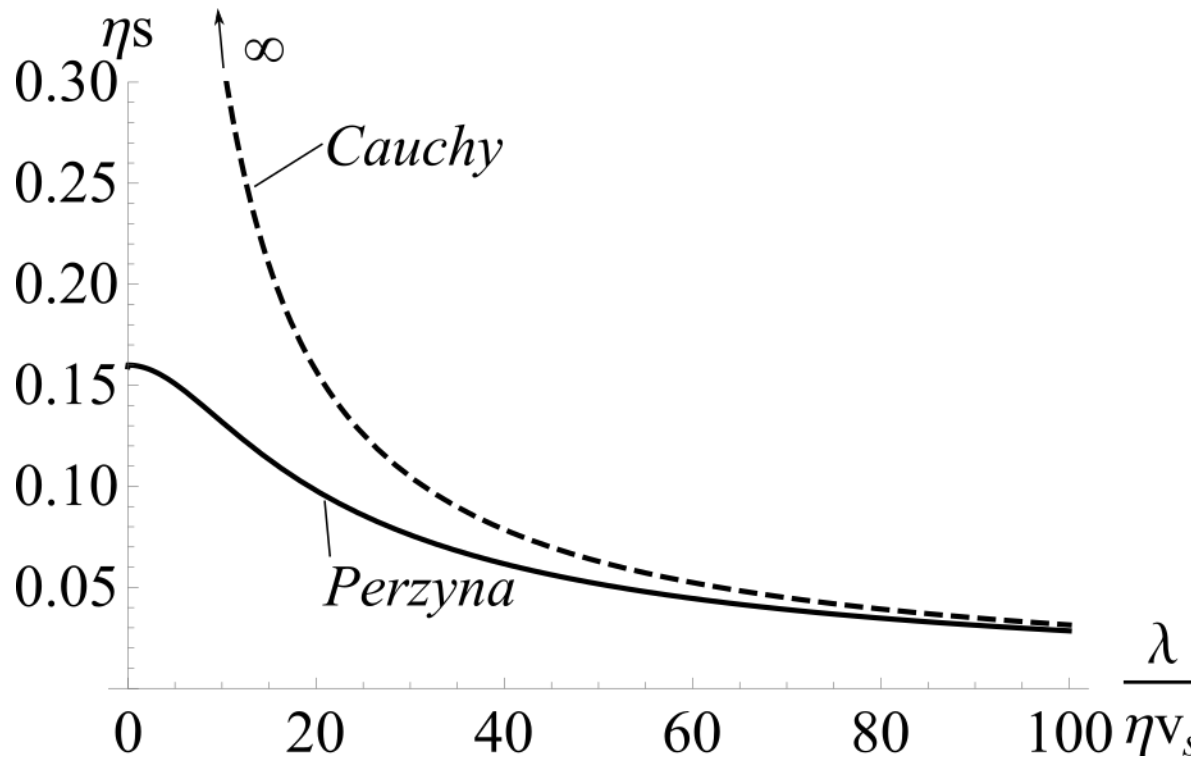
$$\tilde{\sigma}_{12} = 2G \frac{h}{1+h} \tilde{\varepsilon}_{12} + 2 \frac{\eta f_0}{(1+h)^2} \dot{\tilde{\varepsilon}}_{12} - 2 \frac{(\eta f_0)^2}{G(1+h)^3} \ddot{\tilde{\varepsilon}}_{12} + 2 \frac{(\eta f_0)^3}{G^2(1+h)^4} \dddot{\tilde{\varepsilon}}_{12} - \dots$$

And finally:

$$\tilde{\sigma}_{12} = 2G \frac{h}{1+h} \tilde{\varepsilon}_{12} + 2 \frac{\eta f_0}{(1+h)^2} \dot{\tilde{\varepsilon}}_{12} - 2 \frac{(\eta f_0)^2}{G(1+h)^3} \ddot{\tilde{\varepsilon}}_{12} + 2 \frac{(\eta f_0)^3}{G^2(1+h)^4} \dddot{\tilde{\varepsilon}}_{12} - \dots$$

And finally:

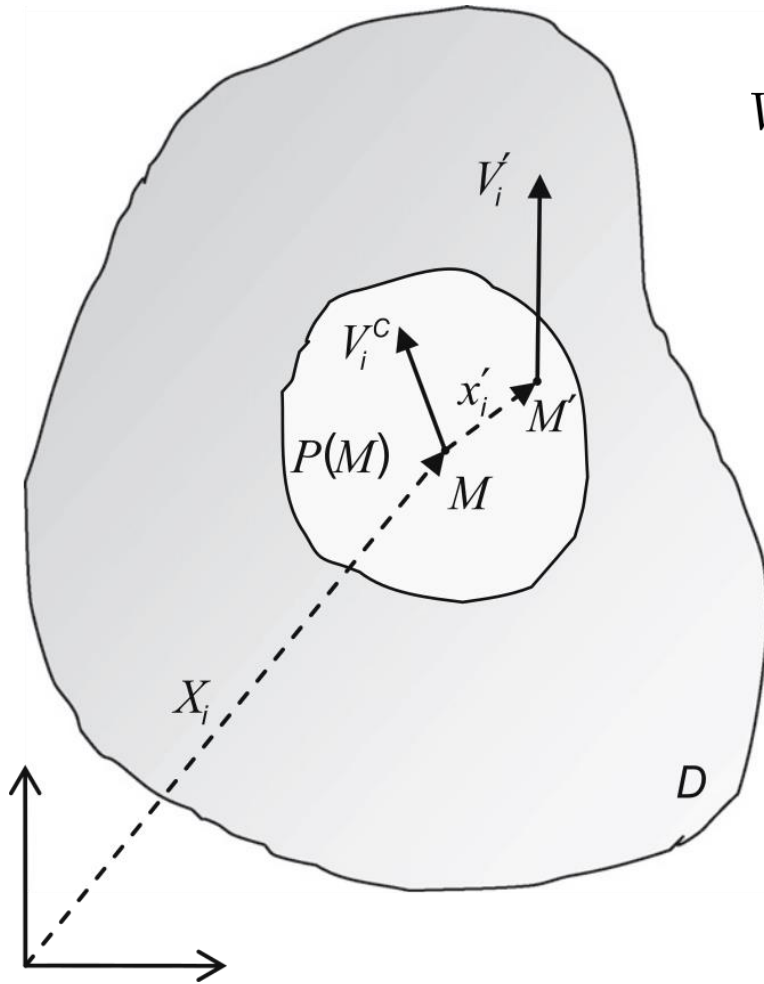
$$\tilde{\sigma}_{12} = 2G \frac{h}{1+h} \tilde{\varepsilon}_{12} + 2 \frac{\eta f_0}{(1+h)^2} \dot{\tilde{\varepsilon}}_{12} - 2 \frac{(\eta f_0)^2}{G(1+h)^3} \ddot{\tilde{\varepsilon}}_{12} + 2 \frac{(\eta f_0)^3}{G^2(1+h)^4} \dddot{\tilde{\varepsilon}}_{12} - \dots$$



For  $\hat{\lambda} \gg \hat{\lambda}^* \approx 20$  inertia is dominant.

# Regularization with micromorphic continua (characteristic length)

# Ansatz



$$V_i' = V_i + \chi_{ij}x_j' + \chi_{ijk}x_j'x_k' + \chi_{ijkl}x_j'x_k'x_l' + \dots$$

(Germain, 1973, Mindlin, 1964 Eringen, 1999, ...)

# Strong form of micromorphic continua

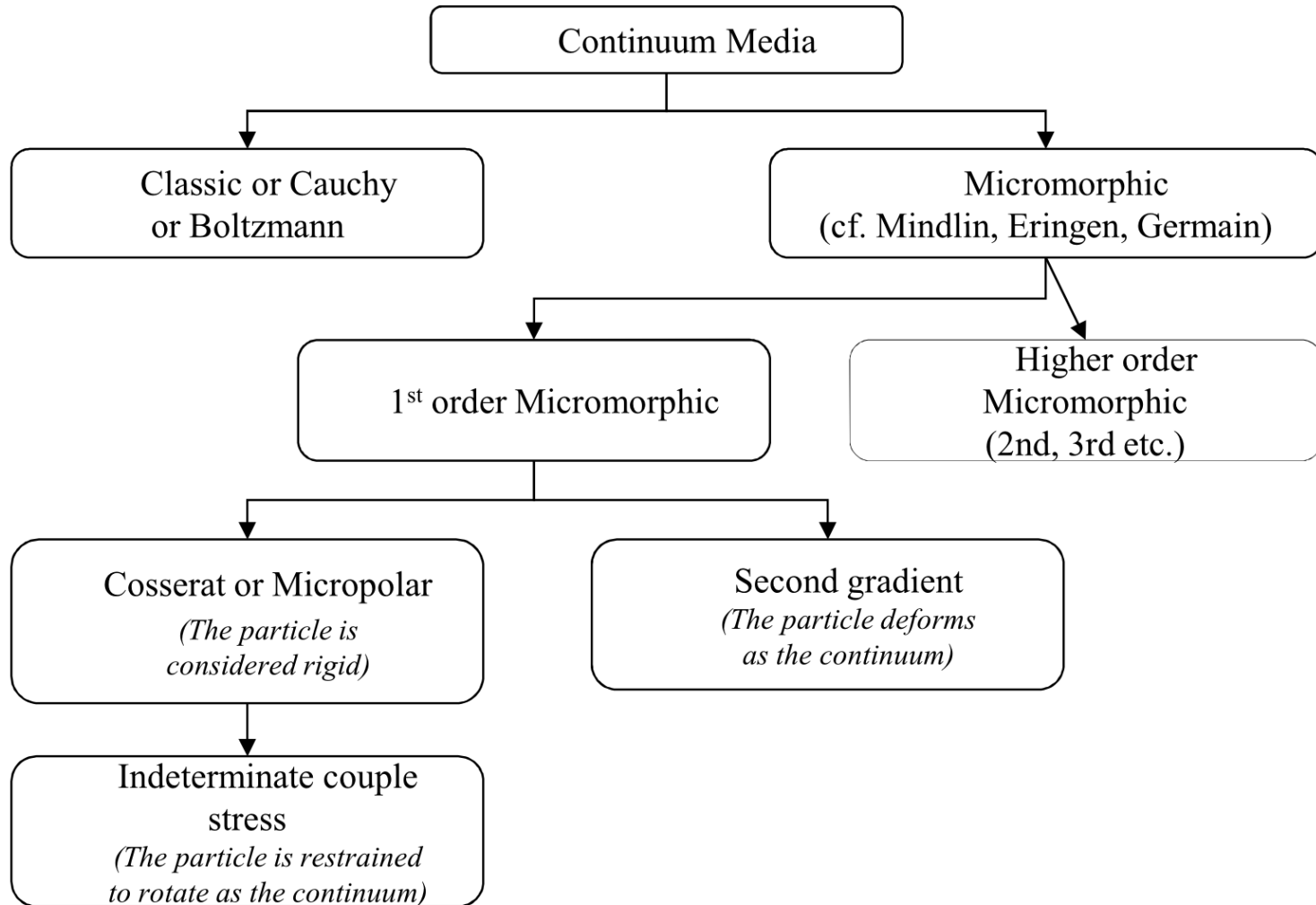
$$\begin{aligned} \tau_{ij,j} + f_i &= 0, & t_i &= \tau_{ij}n_j \\ \nu_{ijk,k} + s_{ij} + \psi_{ij} &= 0, & \mu_{ij} &= \nu_{ijk}n_k \\ \nu_{ijkl,l} + s_{ijk} + \psi_{ijk} &= 0, & \mu_{ijk} &= \nu_{ijkl}n_l \\ & & \dots & \end{aligned}$$

**Q15**



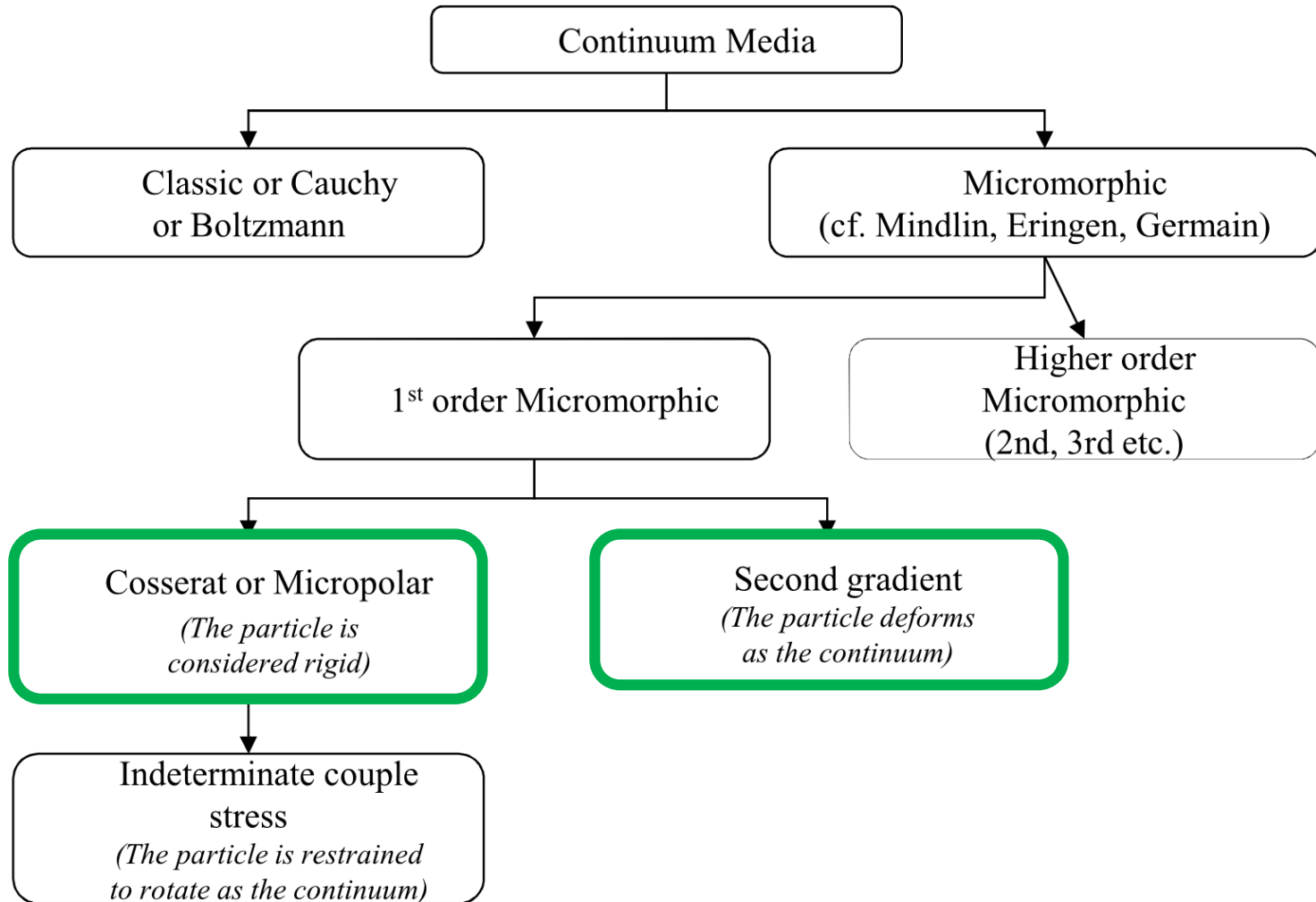
$$V_i' = V_i + \chi_{ij}x_j' + \chi_{ijk}x_j'x_k' + \chi_{ijkl}x_j'x_k'x_l' + \dots$$

# Classification



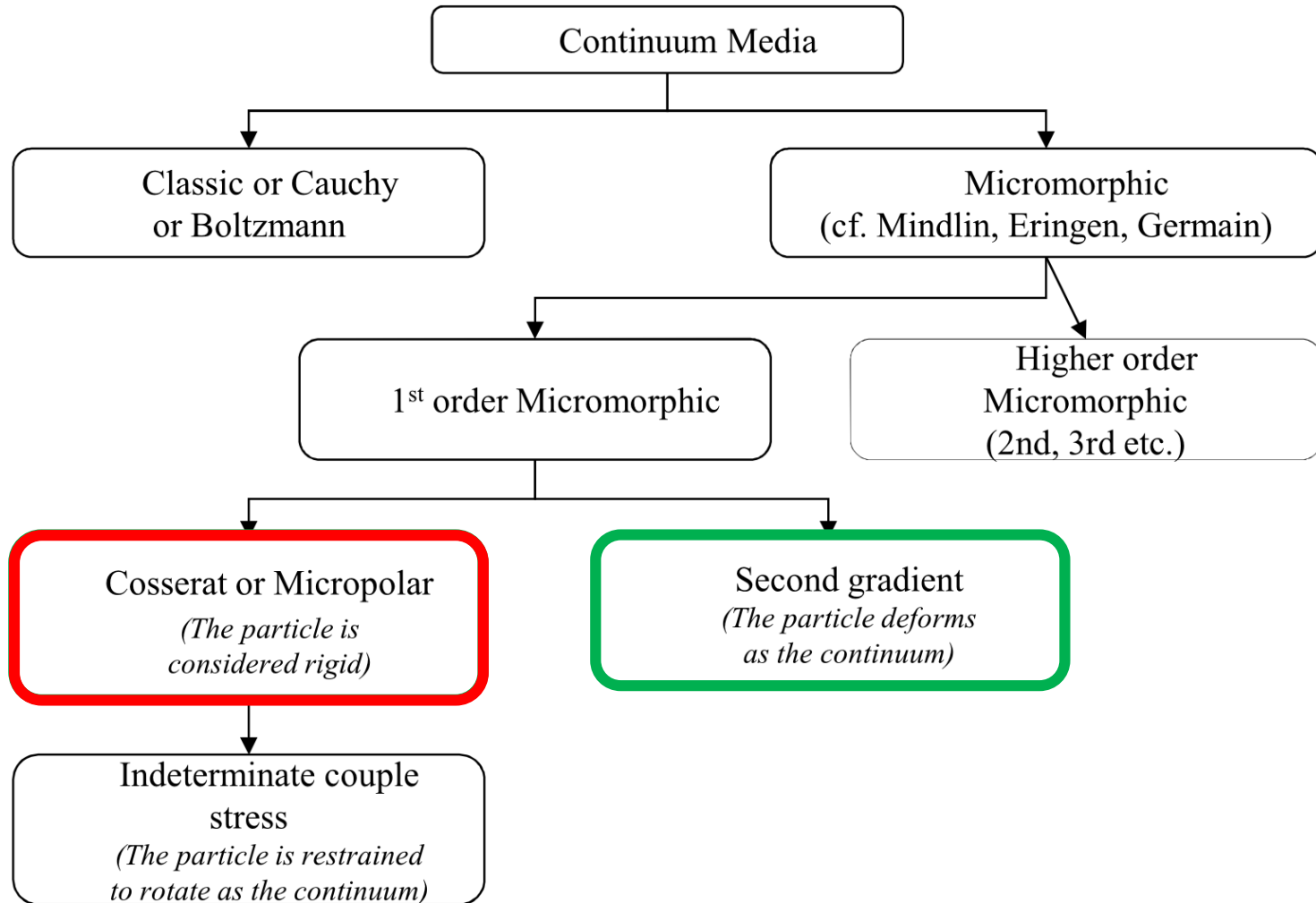
$$V_i' = V_i + \chi_{ij}x_j' + \chi_{ijk}x_j'x_k' + \chi_{ijkl}x_j'x_k'x_l' + \dots$$

# Classification



$$V_i' = V_i + \chi_{ij}x_j' + \chi_{ijk}x_j'x_k' + \chi_{ijkl}x_j'x_k'x_l' + \dots$$

# Classification



Ioannis Vardoulakis

# Cosserat Continuum Mechanics

With Applications to Granular Media

# Momentum balance

$$\begin{aligned} \tau_{ij,j} + f_i &= \rho \ddot{u}_i, & t_i &= \tau_{ij} n_j \\ m_{ij,j} - \epsilon_{ijk} \tau_{jk} + \psi_i &= I \ddot{\omega}_i^c, & \mu_i &= m_{ij} n_j \end{aligned}$$

$\tau_{ij}$  is the Cosserat stress tensor (non-symmetric)

$m_{ij}$  is the Cosserat moment (couple stress) tensor

$u_i$  and  $\omega_i^c$  are the Cosserat displacements and rotations

$t_i$  and  $\mu_i$  denote boundary tractions

$I$  is the microinertia

$\rho$  is the density

# Constitutive law, perturbation and linearization

Constitutive law:  $\tau_{ij} = \tau_{ij}(\gamma_{ij}, \kappa_{ij})$  and  $m_{ij} = m_{ij}(\gamma_{ij}, \kappa_{ij})$

$$\gamma_{ij} = u_{i,j} + \epsilon_{ijk} \omega_k^c$$

$$\kappa_{ij} = \omega_{i,j}^c$$

We perturb the kinematic fields  $u_i$  and  $\omega_i$  as follows:

$$\tilde{u}_i = u_i - u_i^* = U_i e^{st+k_j n_j}$$

$$\tilde{\omega}_i^c = \omega_i^c - \omega_i^{c*} = \Omega_i e^{st+k_j n_j}$$

Linearization of the constitutive law yields:

$$\tilde{\tau}_{ij} = C_{ijkl}^{TT} \tilde{\gamma}_{kl} + C_{ijkl}^{TM} \tilde{\kappa}_{kl}$$

$$\tilde{m}_{ij} = C_{ijkl}^{MT} \tilde{\gamma}_{kl} + C_{ijkl}^{MM} \tilde{\kappa}_{kl}$$

# Eigenvalue problem

Replacing:

$$\begin{bmatrix} \Gamma_{ik} + \rho \left(\frac{s}{k}\right)^2 \delta_{ik} & \Delta_{ik} \\ \Xi_{ik} & \Pi_{ik} + I \left(\frac{s}{k}\right)^2 \delta_{ik} \end{bmatrix} \begin{bmatrix} U_k \\ \Omega_k \end{bmatrix} = 0,$$

where

$$\Gamma_{ik} = n_j C_{ijkl}^{TT} n_l$$

$$\Delta_{ik} = -i \frac{1}{k} n_j e_{qlk} C_{ijql}^{TT} + n_j C_{ijkl}^{TM} n_l$$

$$\Xi_{ik} = n_j C_{ijkl}^{MT} n_l + i \frac{1}{k} e_{ijr} C_{jrkq}^{TT} n_q$$

$$\Pi_{ik} = n_j C_{ijkl}^{MM} n_l - i \frac{1}{k} e_{rnk} C_{ilrn}^{MT} n_l + \frac{1}{k^2} e_{ilr} C_{lrnq}^{TT} e_{nqk} + i \frac{1}{k} e_{ilr} C_{lrkq}^{TM} n_q$$

# Condition for strain localization

$$\text{Det} \left( \begin{bmatrix} \Gamma_{ik} - \rho c^2 \delta_{ik} & \Delta_{ik} \\ \Xi_{ik} & \Pi_{ik} - I c^2 \delta_{ik} \end{bmatrix} \right) = 0$$

(Steinmann & Willam 1991, Iordache & Willam 1998, Rattetz et al. 2018)



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Removing Cosserat effects:

$$\text{Det} (\Gamma_{ik} - \rho c^2 \delta_{ik}) = 0$$

# Application: Mühlhaus-Vardoulakis plasticity model

Strain hardening elasto-plasticity for 3D Cosserat continuum:

$$F = \tau + \mu\sigma, \quad Q = \tau + \beta\sigma$$

$$\sigma = \sigma_{ii} / 3; \quad \tau = \sqrt{h_1 s_{ij} s_{ij} + h_2 s_{ij} s_{ji} + (h_3 m_{ij} m_{ij} + h_4 m_{ij} m_{ji})} / R^2 \quad \{h_i\} = \{2/3, -1/6, 2/3, -1/6\}$$

$$\{g_i\} = \{8/5, 2/5, 8/5, 2/5\}$$

$$\dot{\varepsilon}^p = \dot{\varepsilon}_{kk}^p; \quad \dot{\gamma}^p = \sqrt{g_1 \dot{e}_{ij}^p \dot{e}_{ij}^p + g_2 \dot{e}_{ij}^p \dot{e}_{ji}^p + (g_3 \dot{\kappa}_{ij}^p \dot{\kappa}_{ij}^p + g_4 \dot{\kappa}_{ij}^p \dot{\kappa}_{ji}^p)} R^2$$

Mühlhaus, Vardoulakis (1988)  
Rattez et al. (2018)

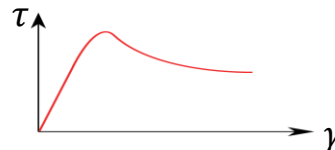
$s_{ij}$  and  $e_{ij}$  are the deviatoric parts of the stress and strain tensors respectively,

$$\dot{\varepsilon} = \dot{\varepsilon}^{el} + \dot{\varepsilon}^p = \frac{1}{K} \dot{\sigma} + \dot{\varepsilon}^p, \quad \dot{\varepsilon}^p = \beta \dot{\gamma}^p$$

$$\dot{\gamma} = \dot{\gamma}^{el} + \dot{\gamma}^p = \frac{1}{G} \dot{\tau} + \dot{\gamma}^p, \quad \dot{\gamma}^p = \frac{1}{H} (\dot{\tau} + \mu \dot{\sigma})$$

where  $H = H(\gamma^p) = h(\sigma + p)$  is the plastic hardening modulus ( $h = d\mu / d\gamma^p$ ) which is related to the tangent modulus  $H_{tan} = \frac{H}{1 + H/G}$

and it is either positive (**hardening**) or negative (**softening**)



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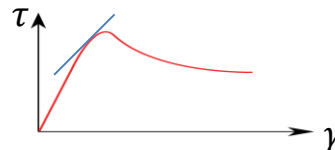
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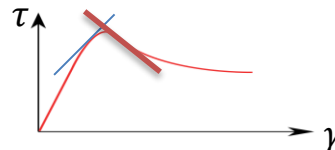
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# Exercise #3: Cosserat layer

Yield surface:  $F = \tau_{(12)} - \tau_0 \leq 0$

Strains and curvatures split:

$$\dot{\gamma}_{ij} = \dot{\gamma}_{ij}^{el} + \dot{\gamma}_{ij}^{pl}$$

$$\dot{\kappa}_{ij} = \dot{\kappa}_{ij}^{el} + \dot{\kappa}_{ij}^{pl}$$

Incremental constitutive law:

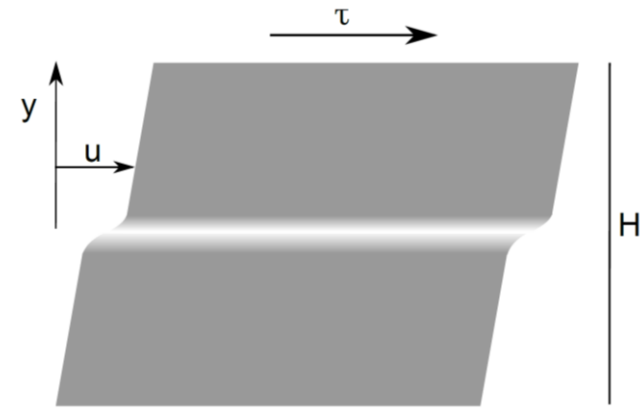
$$\tilde{\tau}_{(12)} = 2G \frac{h}{1+h} \tilde{\gamma}_{(12)}$$

$$\tilde{\tau}_{[12]} = 2G_c \tilde{\gamma}_{[12]}$$

$$\tilde{\tau}_{22} = M \tilde{\gamma}_{22}$$

$$\tilde{m}_{32} = 4GR^2 \tilde{\kappa}_{32}$$

where  $G_c$  is the Cosserat shear modulus.



The momentum balance equations become:

$$\frac{\partial \tau_{12}}{\partial x_2} = \rho \ddot{u}_1; \quad \frac{\partial \tau_{22}}{\partial x_2} = \rho \ddot{u}_2$$
$$\frac{\partial m_{32}}{\partial x_2} + \tau_{21} - \tau_{12} = I \ddot{\omega}_3^c.$$

At steady state we have a Cauchy continuum under **homogeneous shear**:  $\tau_{(12)} = \tau_{(12)}^* = \tau_0$ ,  $\tau_{22} = \tau_{22}^* = \sigma_0$ ,  $\tau_{[12]} = \tau_{[12]}^* = 0$  and  $m_{32} = m_{32}^* = 0$

Perturbations:  $u_i = u_i^* + \tilde{u}_i$ ,  $\omega_3 = \omega_3^{c*} + \tilde{\omega}_3^c$

Replacing:

$$\frac{\partial \tilde{\tau}_{12}}{\partial x_2} = \rho \ddot{\tilde{u}}_1; \quad \frac{\partial \tilde{\tau}_{22}}{\partial x_2} = \rho \ddot{\tilde{u}}_2$$
$$\frac{\partial \tilde{m}_{32}}{\partial x_2} + \tilde{\tau}_{21} - \tilde{\tau}_{12} = I \ddot{\tilde{\omega}}_3^c.$$

Solution:

$$\tilde{u}_i = U_i e^{st+ikx}$$

$$\tilde{\omega}_i^c = \Omega_i e^{st+ikx}$$

with  $k = \frac{2\pi}{\lambda}$  satisfying the BC's:

$$\tilde{\sigma}_{12} \left( x_2 = \pm \frac{H}{2} \right) = \tilde{\sigma}_{22} \left( x_2 = \pm \frac{H}{2} \right) = \tilde{m}_{32} \left( x_2 = \pm \frac{H}{2} \right) = 0$$

Replacing and solving for  $s$  yields:

$$s = ikv_p \quad \text{or}$$

$$s = \pm ikv_s \sqrt{\frac{h}{h+1}} \sqrt{\frac{\eta_1 \left( 1 + \frac{1}{k^2 R^2} \right) + \frac{h+1}{h}}{\frac{\eta_1}{k^2 R^2} + 1}},$$

where  $I = 0$  for simplicity.

The system is unstable when  $Re[s] > 0$ :

$$h < 0 \text{ (softening) and } \eta_1 \left( 1 + \frac{1}{k^2 R^2} \right) + \frac{h+1}{h} > 0$$

or

$$\lambda > \lambda_{cr} = 2\pi R \sqrt{-\frac{1+h}{h} - \frac{1}{\eta_1}}$$

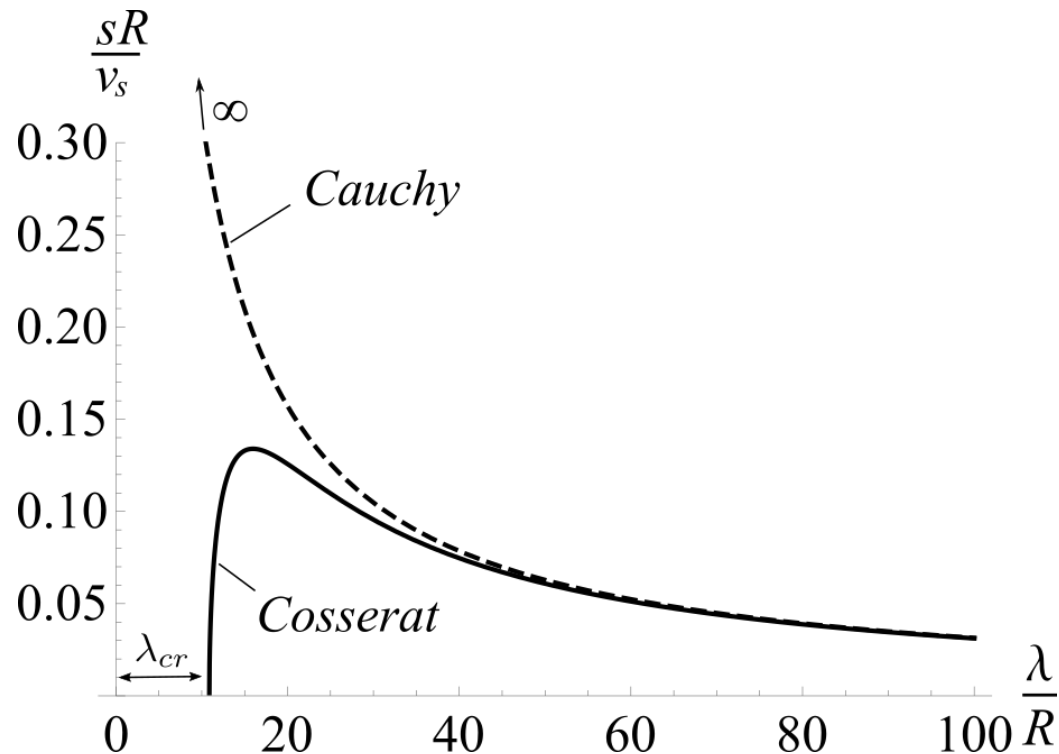


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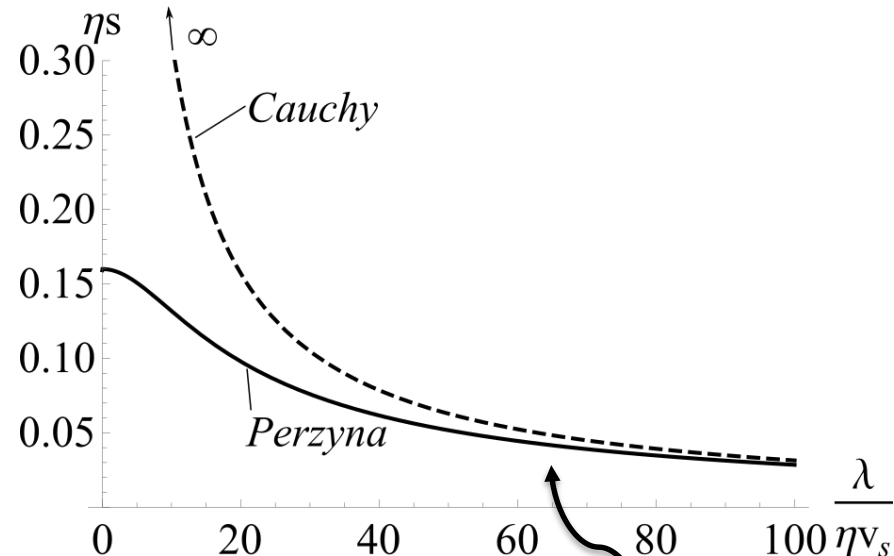
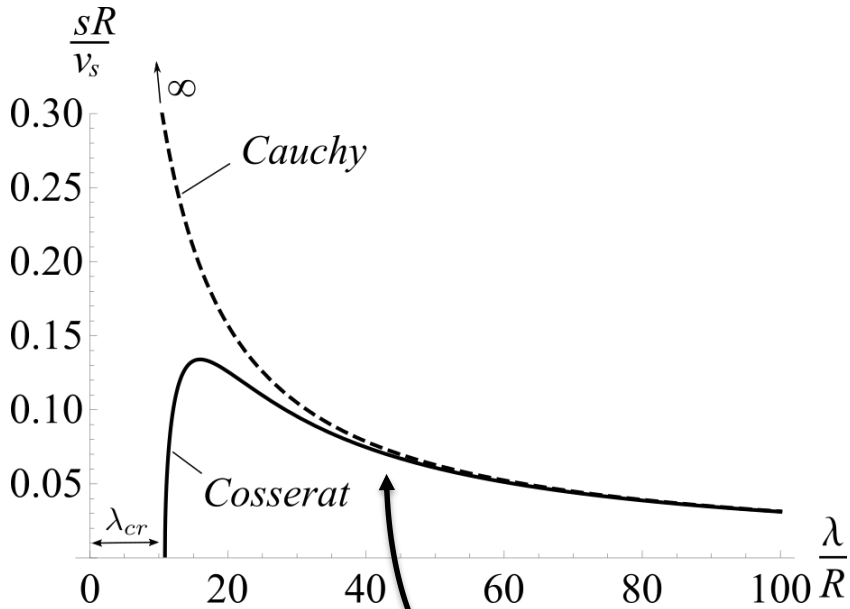
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# Cosserat vs Viscoplasticity



$$s = -v_s^2 \frac{\eta f_0}{2G(1+h)^2} k^2 + \sqrt{\left( v_s^2 \frac{\eta f_0}{2G(1+h)^2} k^2 \right)^2 - v_s^2 \frac{h}{1+h} k^2}$$

$$s = +ikv_s \sqrt{\frac{h}{h+1}} \sqrt{\frac{\eta_1 \left( 1 + \frac{1}{k^2 R^2} \right) + \frac{h+1}{h}}{\frac{\eta_1}{k^2 R^2} + 1}}$$

# Multiphysics couplings (characteristic time & length)

# Exercise #3: Cauchy layer with 2-way (strong) thermo-mechanical coupling

Linearized constitutive law:

$$\tilde{\sigma}_{12} = 2G \frac{h}{1+h} \tilde{\varepsilon}_{12} + A\tilde{T}$$

Heat equation (perturbed):

$$\frac{\partial \tilde{T}}{\partial t} = c_{th} \frac{\partial^2 \tilde{T}}{\partial x^2} + 2\tau^* \tilde{\varepsilon}_{12},$$

$c_{th}$  is the thermal diffusivity

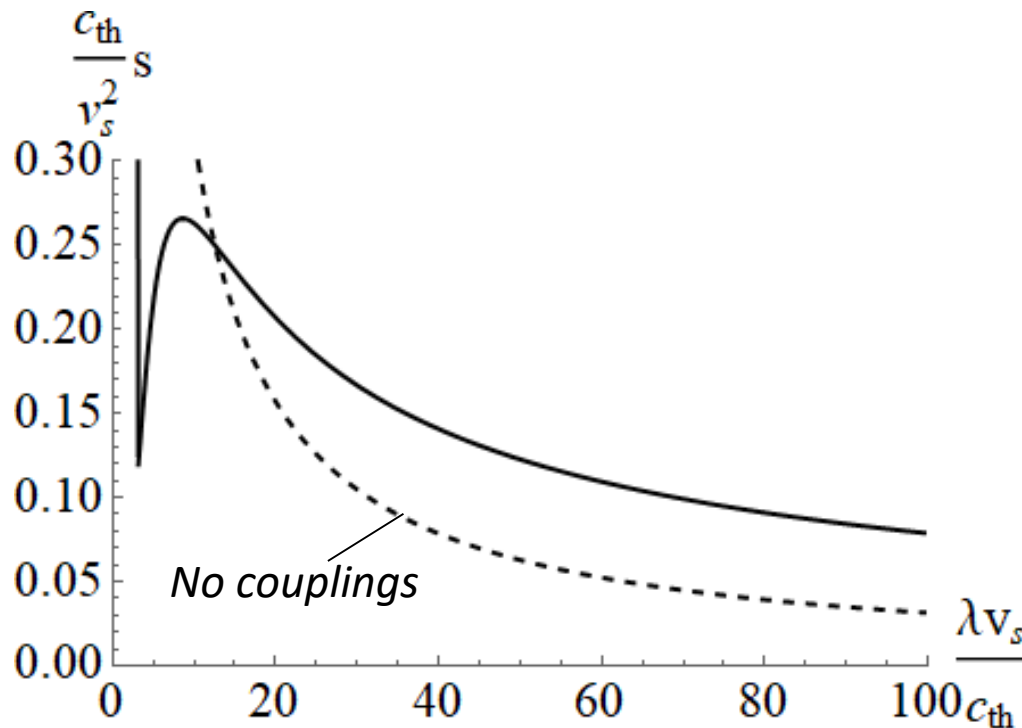
$\tau^*$  the shear stress at the state of homogenous deformation.

From balance equation we obtain:

$$-(k^2 v_s^2 \frac{h}{1+h} + s^2)g + ik \frac{A}{\rho} \theta = 0$$

From heat equation:

$$ik\tau^*g - (k^2 c_{th} + s) \theta = 0$$



# Summing up...

- Bifurcation analysis leads to conditions for strain localization under different constitutive assumptions, continua and multiphysics couplings;
- Scaling helps to identify the dominant time and spatial scales;
- Deformation bands are a type of strain localization, commonly met
- Linear stability analysis gives the band's thickness and mesh dependency without cumbersome numerical analyses;
- We showed analytically why mesh dependency takes place;
- Regularization techniques restore physics and alleviate mathematical artifacts, such as instantaneous localization on a mathematical plane.
- Viscosity  $\rightarrow$  characteristic time;
- Micromorphic continua  $\rightarrow$  characteristic length;
- Multiphysics  $\rightarrow$  characteristic length & time.

**Q16-**

# Diffuse bifurcation





Thank you for your attention!

# References

# References

*See chapter...*